

Mécanique aux petites échelles

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CNRS

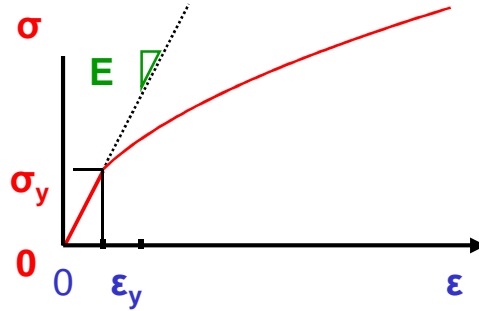


Science, Ingénierie des Matériaux et Procédés

Université de Grenoble



$L [\mu\text{m} - \text{nm}] \rightleftharpoons$

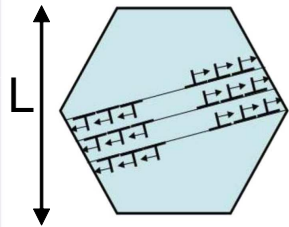


$$d\epsilon/dt = F(\sigma, T, S)$$

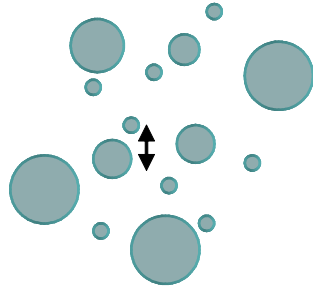
$$E, \sigma_y, n$$

Microstructure:

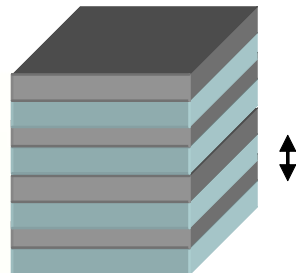
Distance entre interfaces



Taille de grains,
dislocations



Pores / précipités

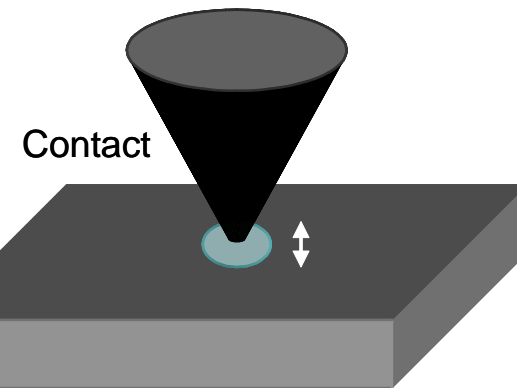
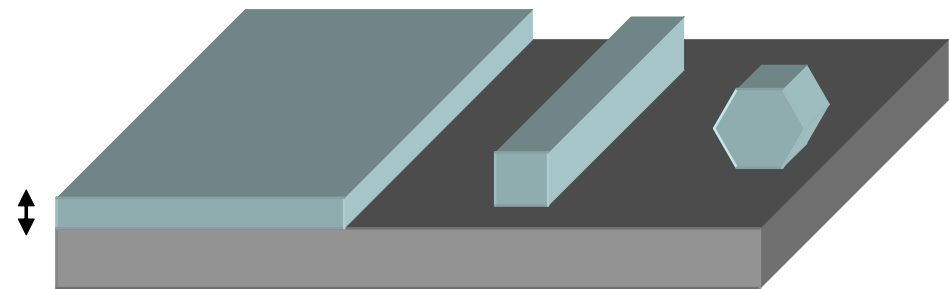


Multicouches

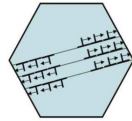
Dimensions:

Taille de l'objet / sollicitation

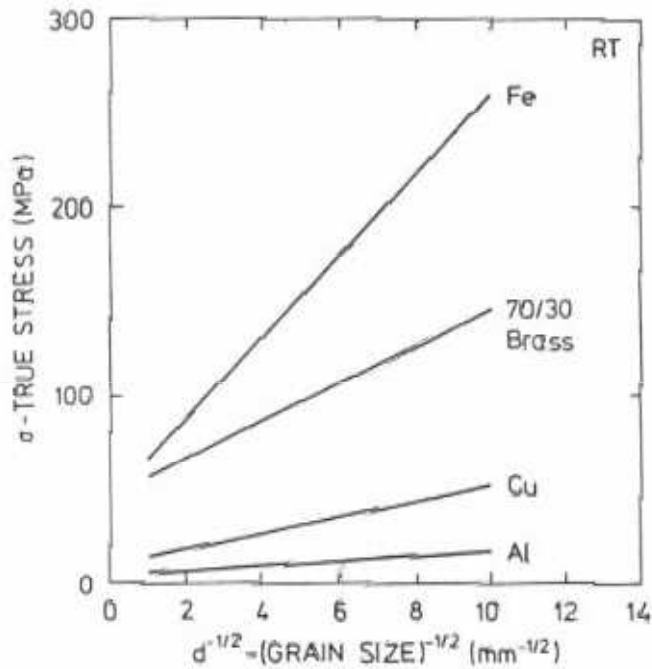
Film, fil, plot



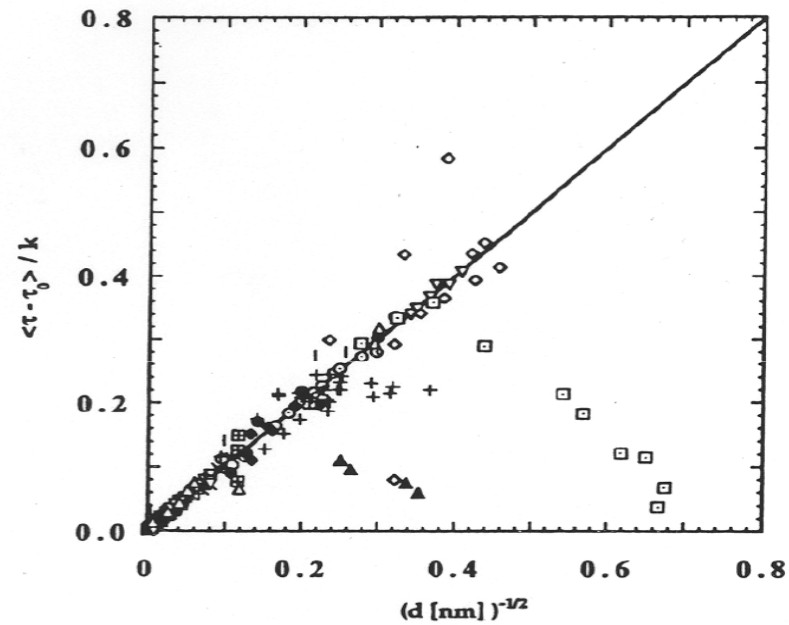
Microstructure:
Grain size



Motivation: strengthening
What about submicron scale ?



[N.Hansen, *Met. Trans* 1985]

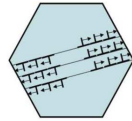


- [1] Fe
 - ⊠ [2] NiAl
 - [3] Ag
 - [4] Cu
 - ▽ [5] Fe
 - ▼ [6] Cu
 - [6] Co
 - × [6] Fe
 - ◇ [7] Pd
 - [8] Ti
 - ◆ [9] Cu
 - [10] Ti
 - ▽ [11] Al-1.5%Mg
 - △ [12] Ni
 - ⊥ [13] Ni
 - [14] NiP
 - ⊕ [15] Cu
 - ▲ [16] Cu
 - Unit Slope
- See Appendix A for References

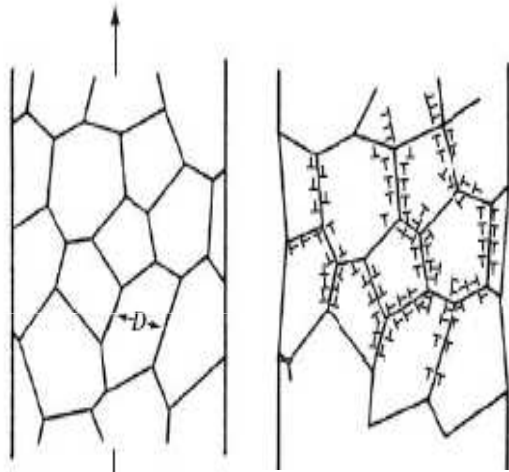
$$\sigma_y = \sigma_0 + \frac{K_{HP}}{\sqrt{d}}$$

[Hall *Proc.Roy.Soc.* 1951; Petch *Jal Iron Steel* 1953]

Microstructure:
Grain size



λ "mean free path"



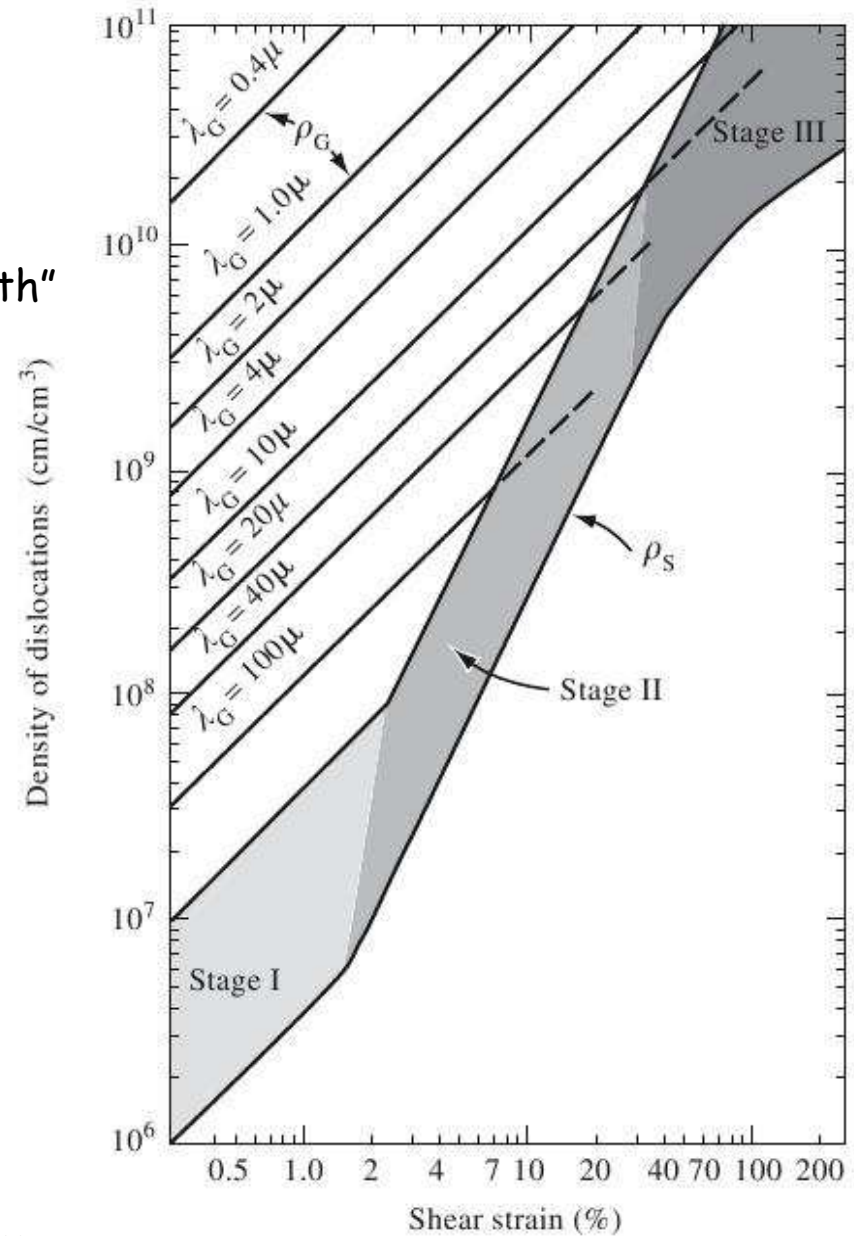
$$\rho_{GND} \propto \epsilon / (bd)$$

[Ashby, 1971]

$$\sigma \propto \mu b / \lambda, \quad \lambda = 1 / \sqrt{\rho}$$

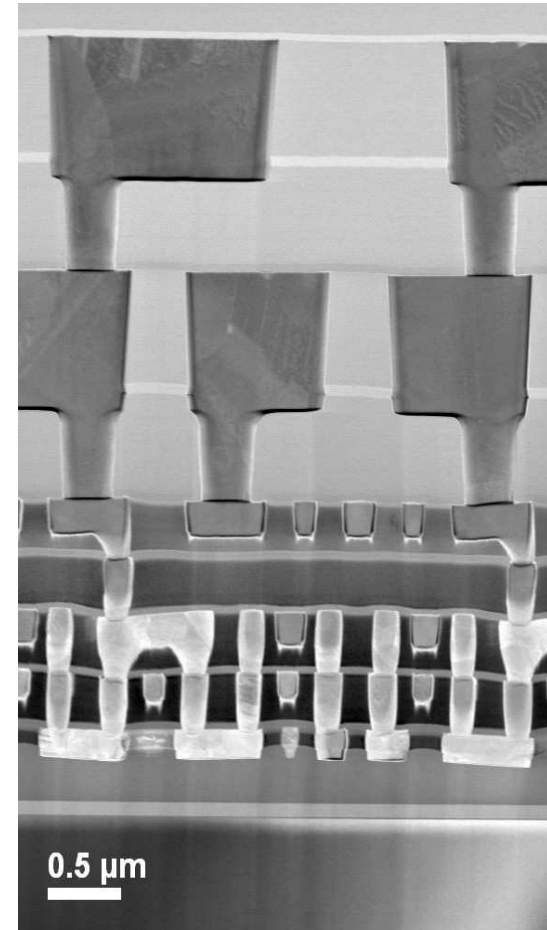
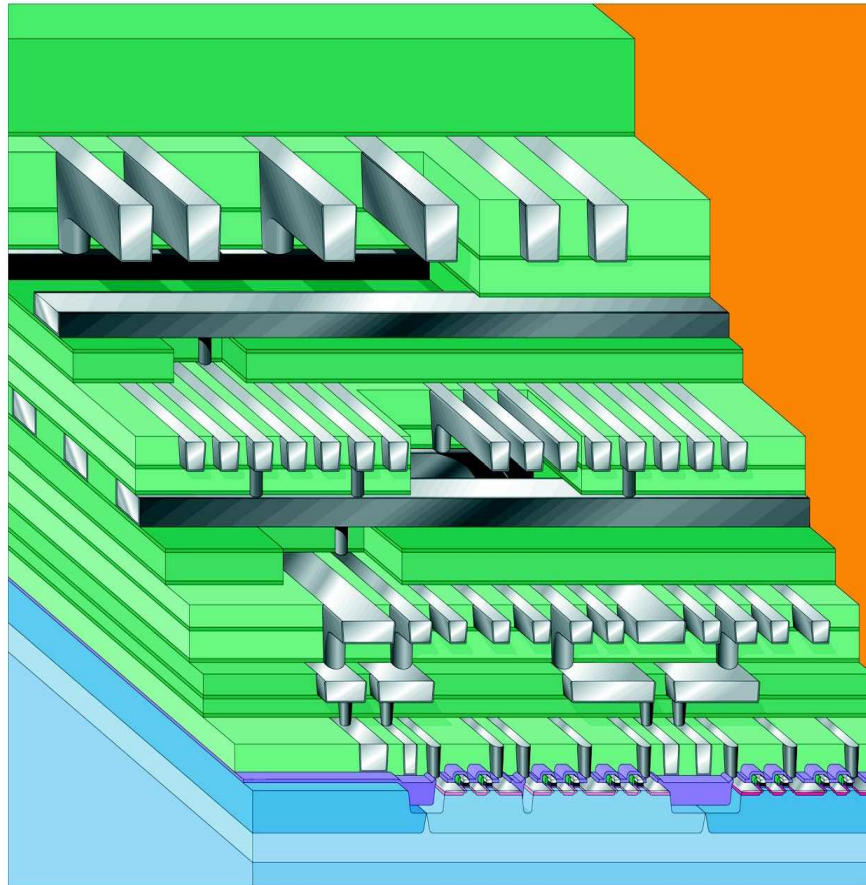
$$(\sigma_y - \sigma_0)^2 / (\alpha \mu b) = \rho_{stat} + \beta \epsilon / d$$

=> Strong effect at small strain



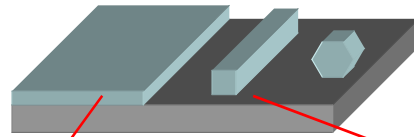
Functionnal materials

Motivation: scale reduction



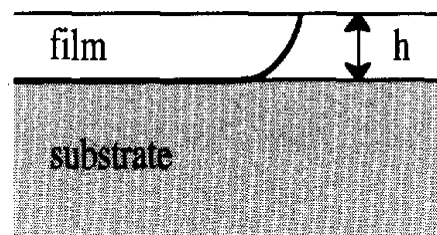
65nm design rules on 300mm wafer:
Metal levels interconnects using Cu and porous α -SiOCH

Dimensions:
Object size



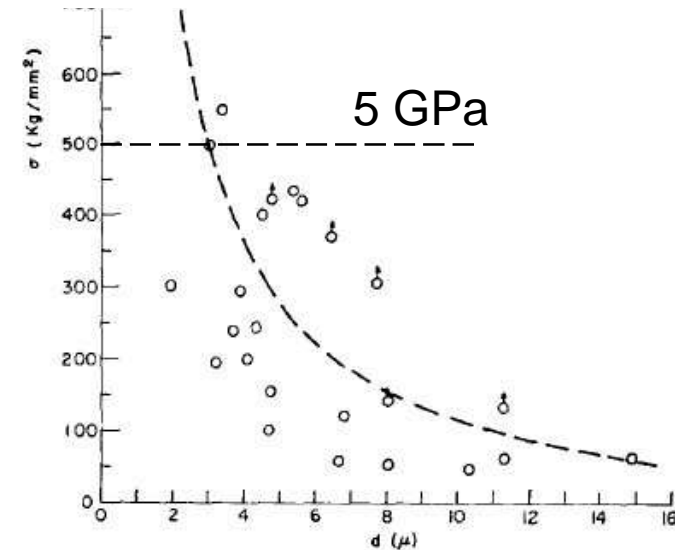
Whiskers

Films



$$\tau_o = \frac{\mu b}{4\pi h} \text{Log}\left(\frac{h}{b}\right)$$

Coherent/semicoherent epitaxy (Orowan/Matthews...)



[S.S.Brenner, JAP 1954; Acta 1956]

$$\tau_{th} \sim \mu/10$$

Theoretical strength

$$\tau_{act} = \frac{\mu b}{4\pi h} \text{Log}\left(\frac{h}{b}\right)$$

Expansion of a dislocation

Questions on scale effect (submicron range) as compared with "bulk"

- Is "smaller harder" ?
 - Strength evolution with scale ?
 - Strain hardening ?

- Mechanisms of deformation ?

- Is there a limit in ductility ?

OUTLINE

Introduction

- Motivations

Mechanical loading

- Uniaxial: tensile, compression
- Flexion
- Curvature
- Indentation
- Large strain
- Field measurements

Principle, limits and artefacts

Single crystal / Polycrystal - Interfaces(multilayers)

Challenges

Traction: l'idéal

$$\sigma_{11} = E \cdot \varepsilon_{11};$$

$$\nu = \varepsilon_{22} / \varepsilon_{11}$$

+ contrainte homogène

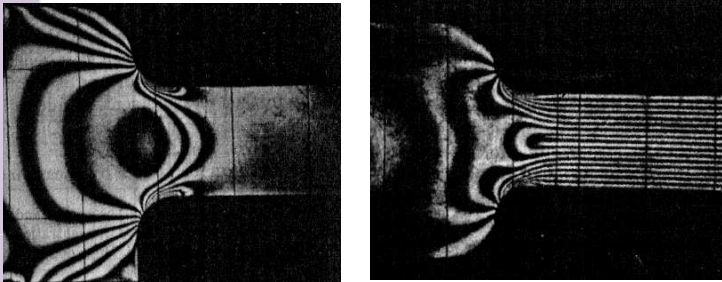
Rigidité cinématique (F),
Déformation mesurée sur l' éch.

Alignement 3D sinon cintrage superposé !

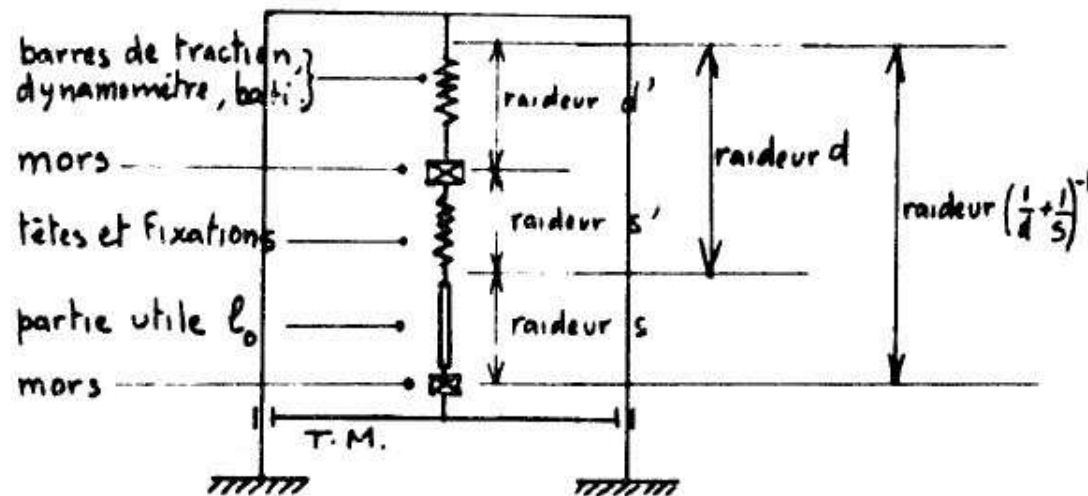
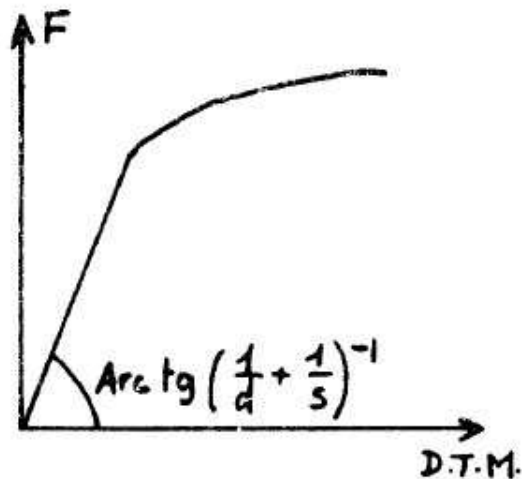
Conditions limites aux mors (rotation)

Limite en déformation par striction,
dépend de:

- l'écouissage (Considère)
- La sensibilité vitesse déformation



[Timoshenko]



[JP/Cottu-Pratique de l'essai de traction- Yrivals 1979]

Whiskers

[S.S.Brenner, JAP 1954; Acta 1956]

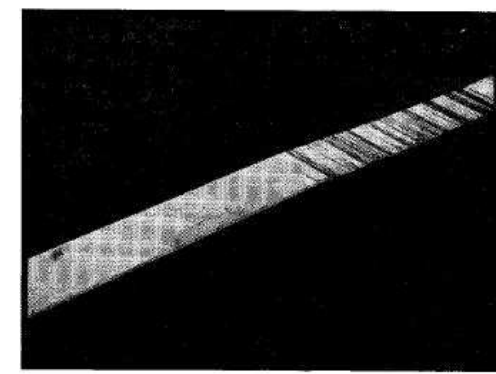
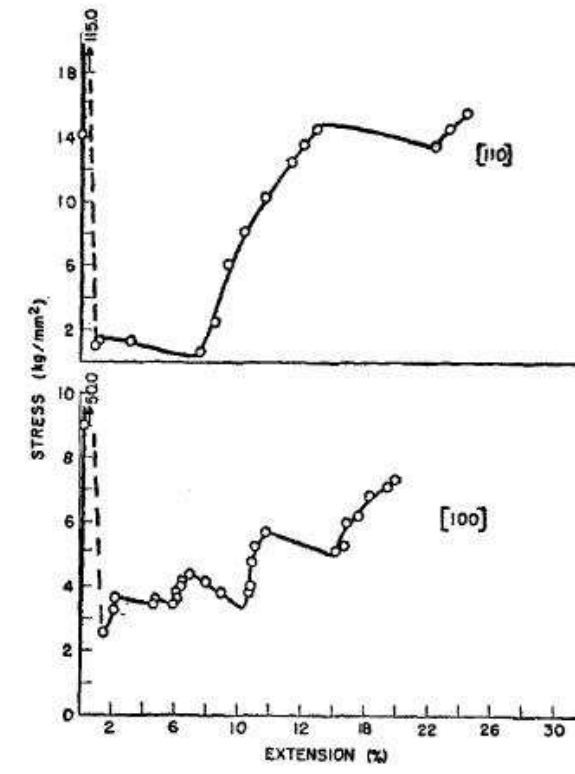
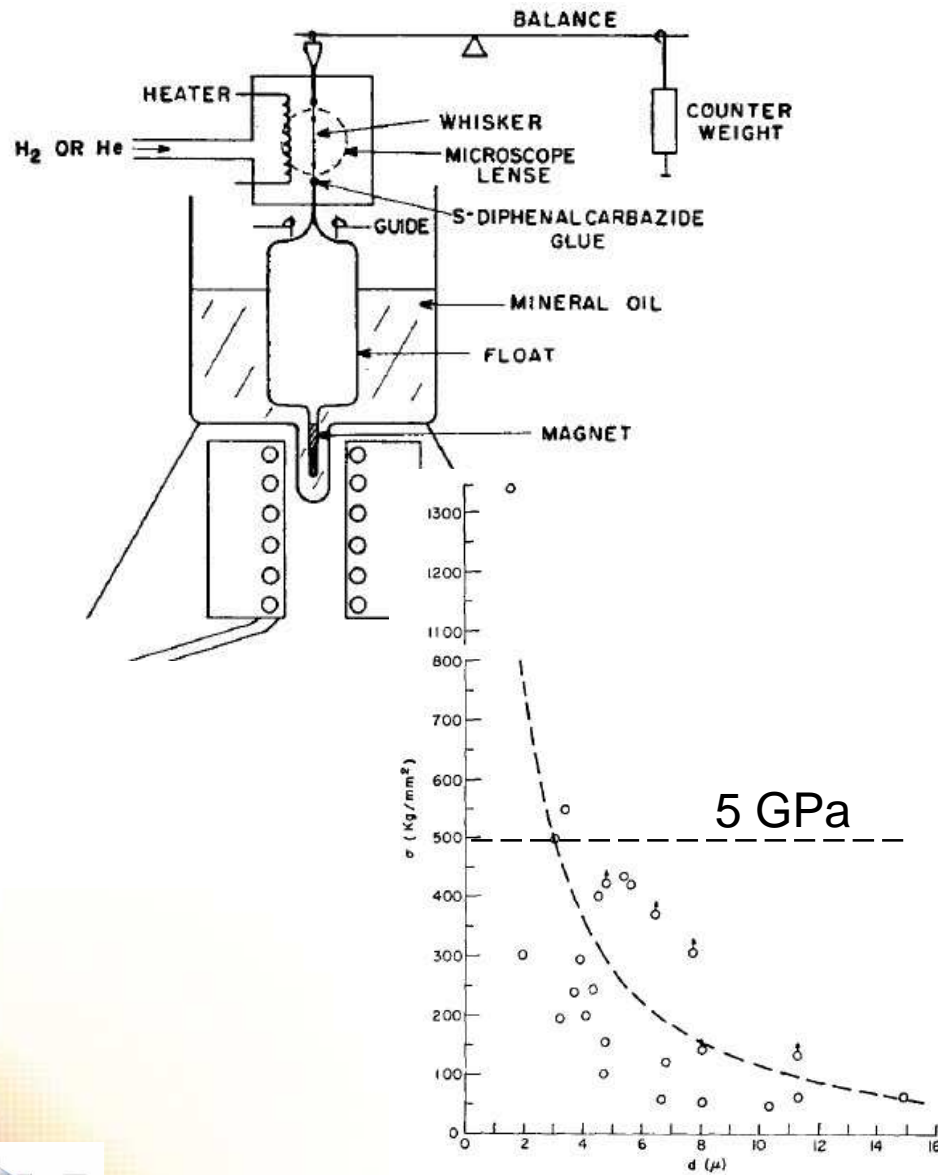
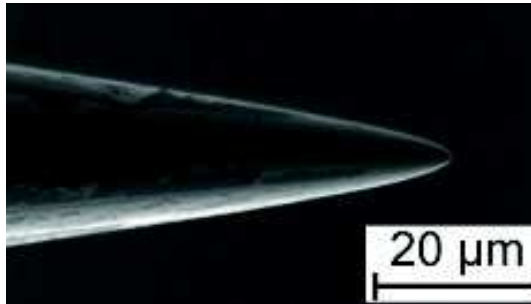
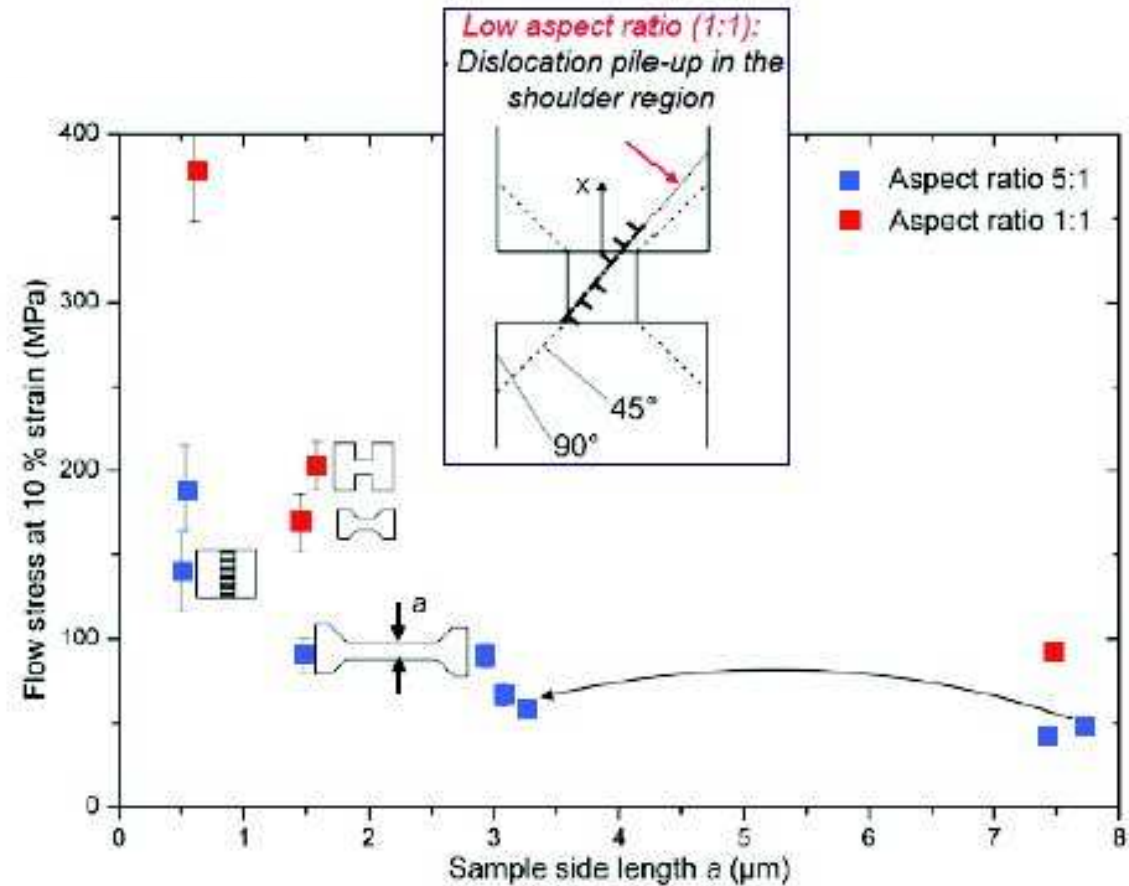


FIG. 4. Propagation of a Lüders band in a copper whisker, mag. 80X.

FIB shaped single crystal (Cu) in-situSEM -single slip orientation

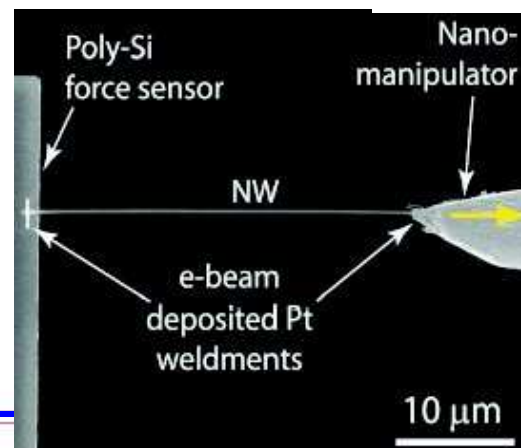
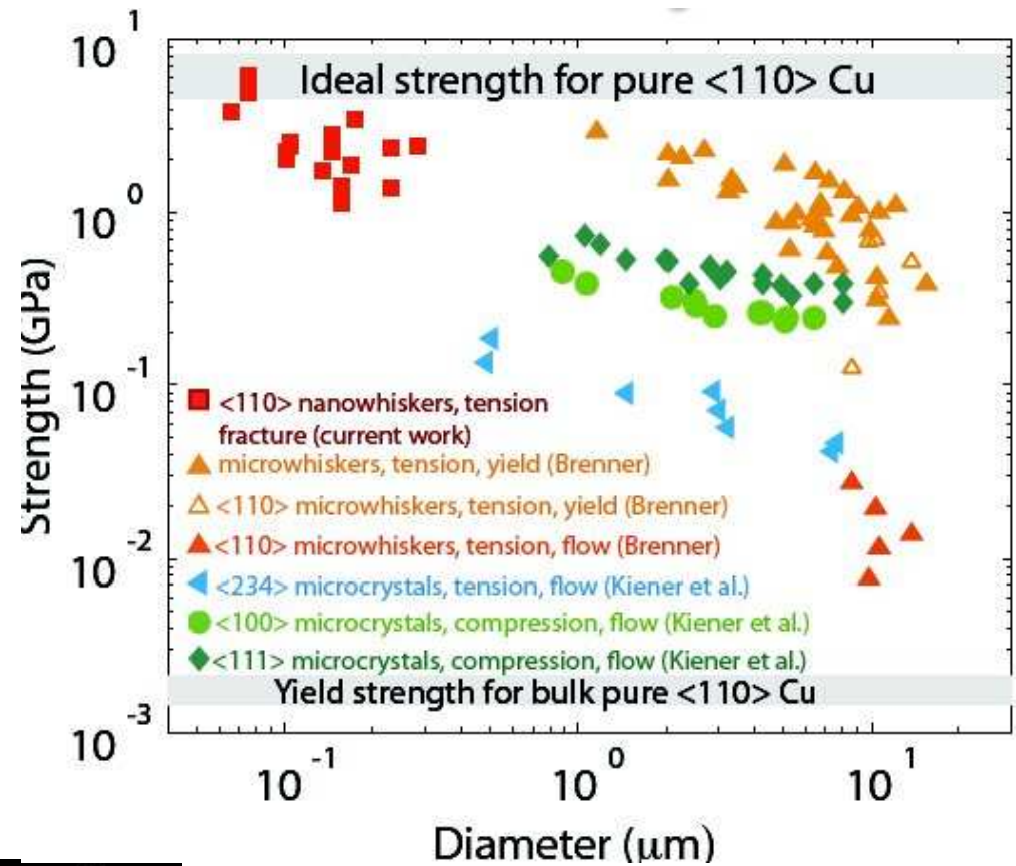
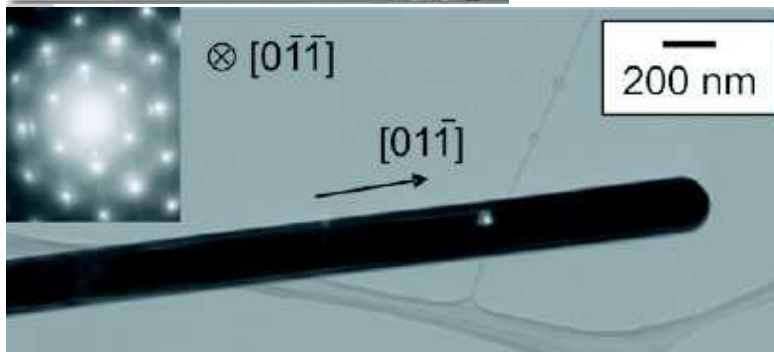
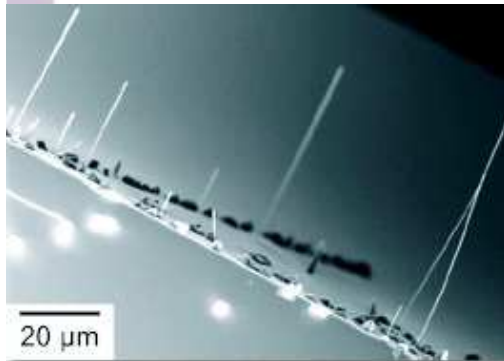


D.Kiener et al Acta Mat.56(2008)

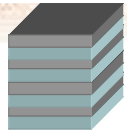


=> Small stress increase, artefacts increase it (aspect ratio; grips effect..)

Cu growth [Richter MPI]

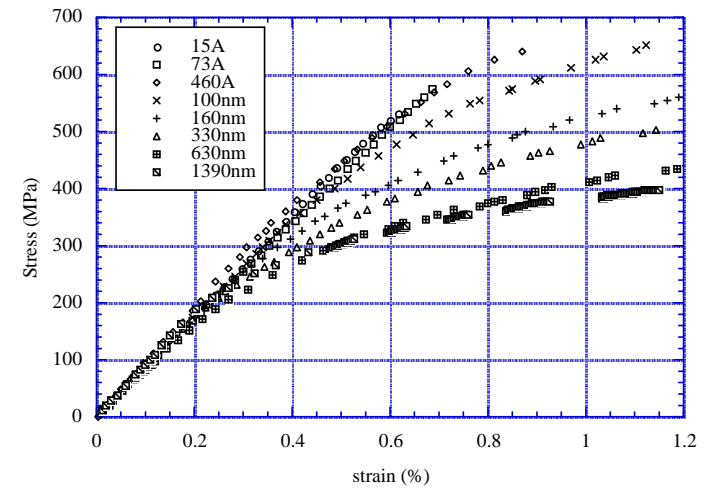
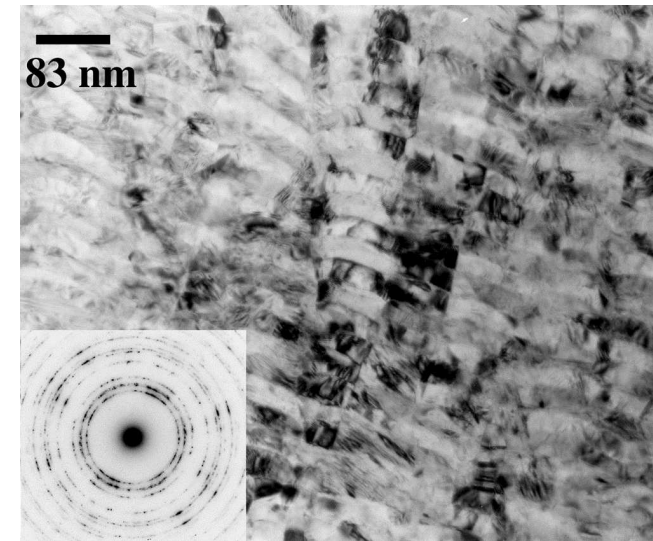
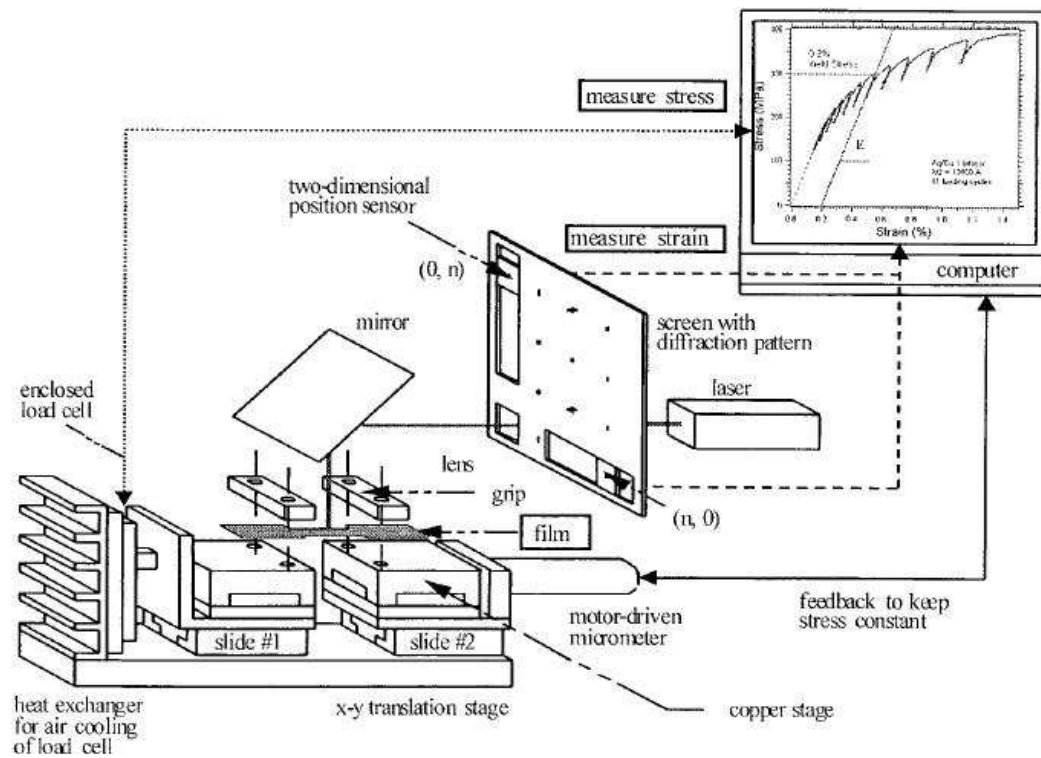


[D.Gianola et al. Penn State]

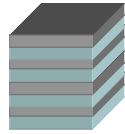


Example: free standing films Cu, Ag, Cu/Ag

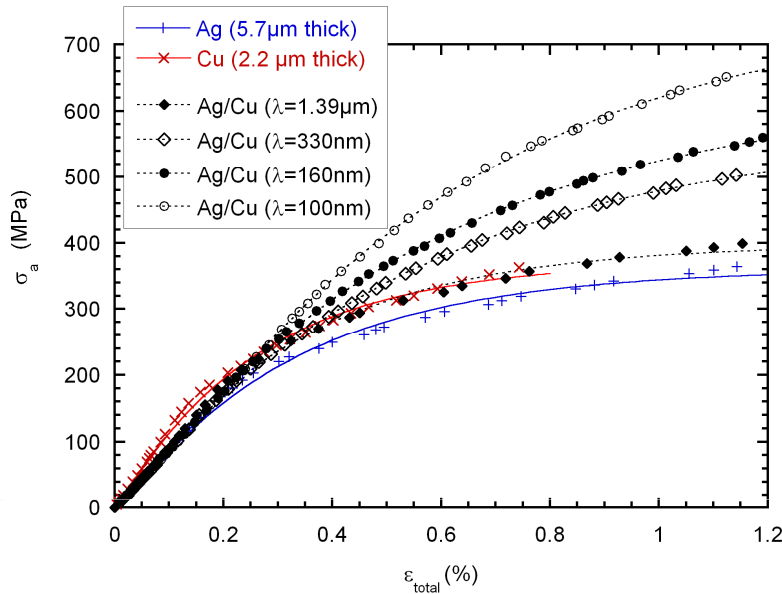
2 μm thick films



[Huang et al, Acta Mat. (2002)]



Transition élasto-plastique / écrouissage



-> Hall-Petch like behavior, $\sigma_{0.2\%} \propto h^{-0.44}$

-> Increasing initial strong work hardening

- $\theta(0.2\%) > \theta_{II}$ and \uparrow

[M.Verdier et al, Phil Mag 86,5009 (2006)]

$$\sigma_a = \sigma_m + \sigma_r \left[1 - \exp\left(-C \frac{\epsilon_m - \epsilon_a}{\sigma_r}\right) \right]$$

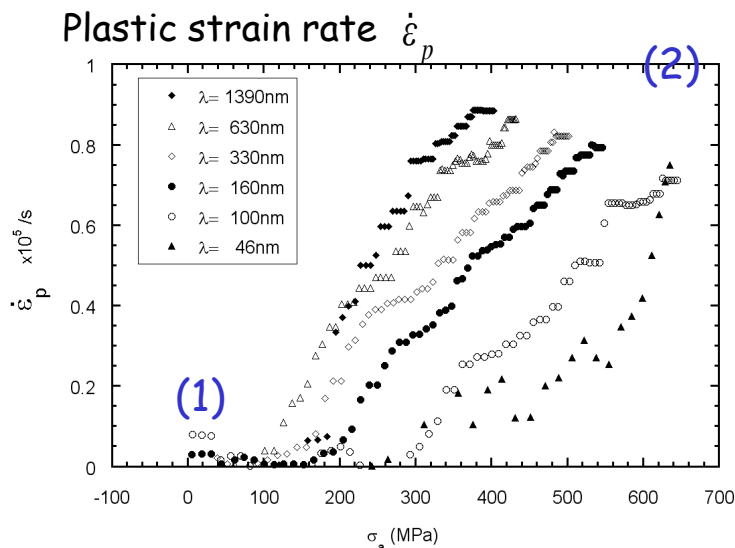
(1) Microplast. stress $\sigma_m \propto 1/h$

$$\sigma_m \approx 0.4 \frac{\mu b}{\lambda} \ln \frac{\lambda}{b}$$

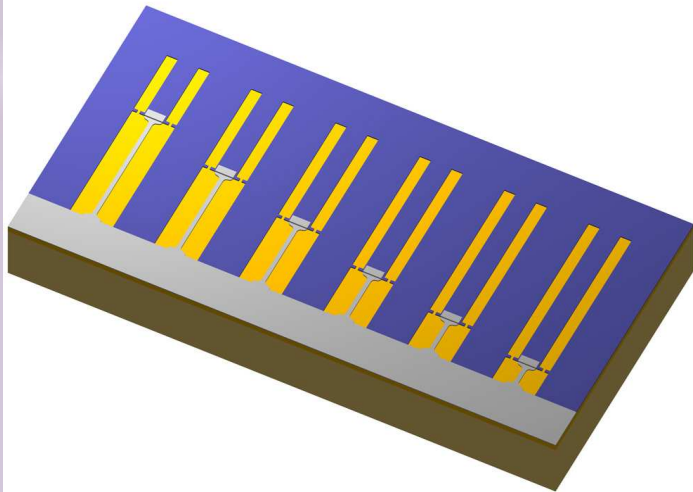
(2) Saturation stress $\sigma_{sat} \propto 1/(h)^{0.5}$

$$\sigma_{sat} = 278 + 9570 \sqrt{\frac{b}{\lambda}}$$

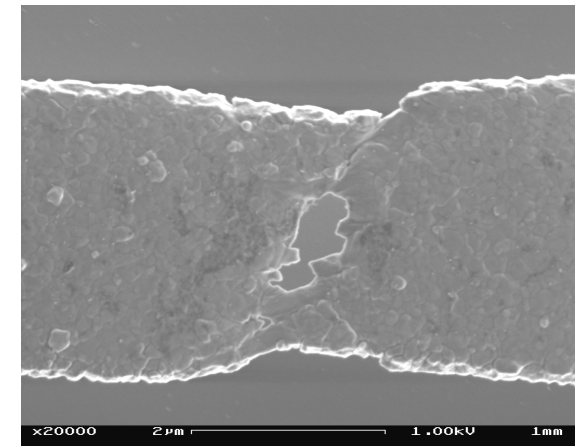
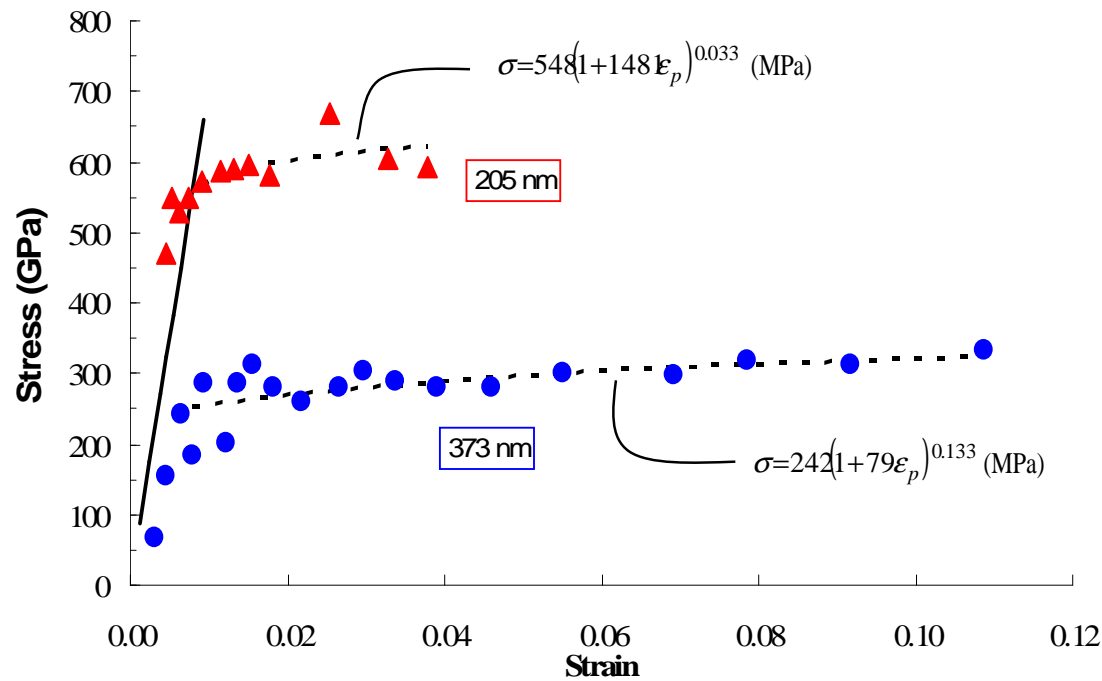
[G.Saada et al Phil. Mag.,87,4875 (2007)]



Ex: Elegant on chip simple system (films Al p χ), [T.Pardoen et al. UCL]

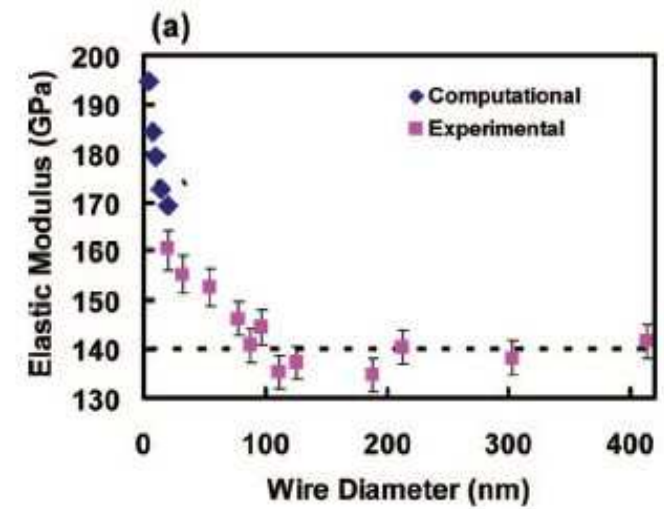
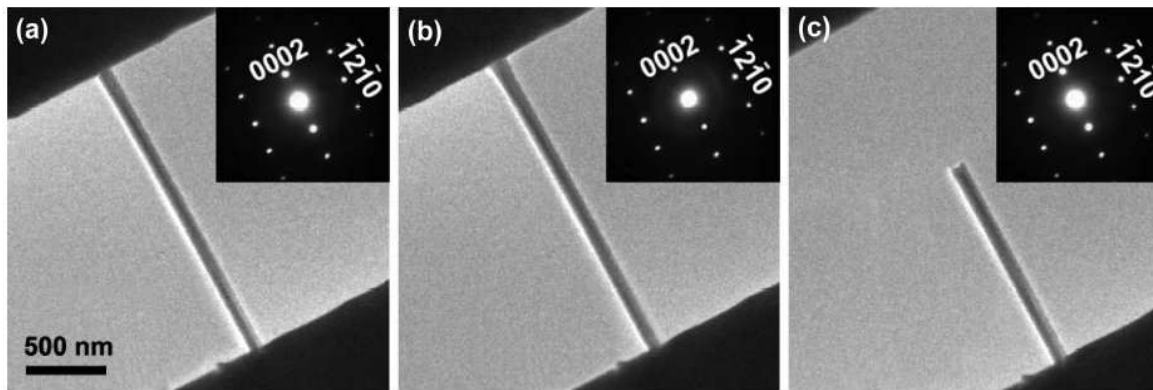
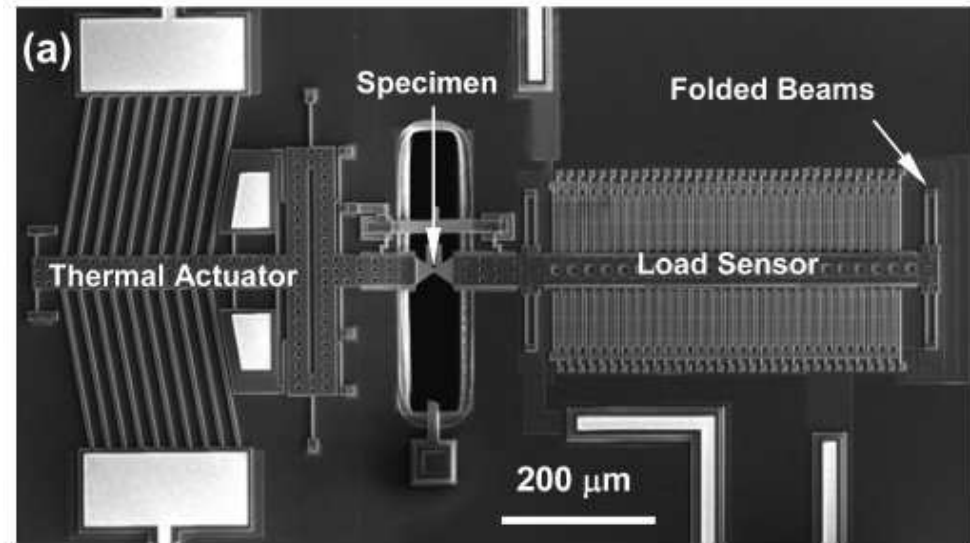


- SiN actuator (blue): release of residual stress
- One sample / strain, under load observations !
- No alignment problem (integrated meas.)
- => Large strain (4% for 200nm thick films)



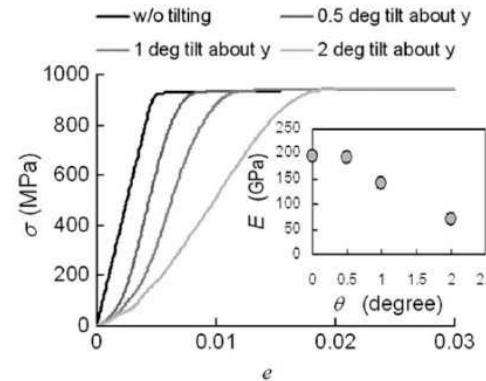
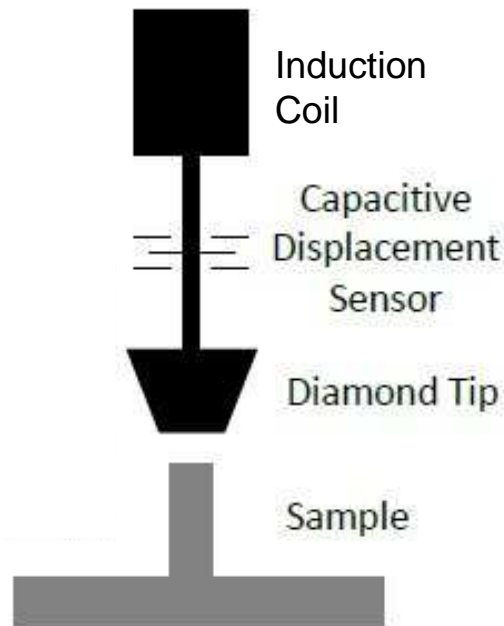
Full on-chip (not cheap) test

Nanowhiskers: ex. ZnO ,nMTS



Espinosa et al. Nano Letters, 8 (2008)

Compression: « Micropillar »



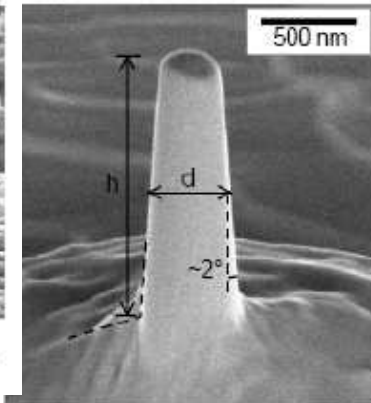
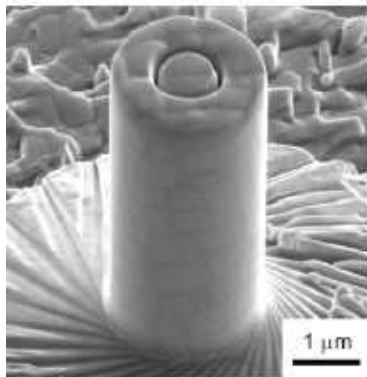
[Y.S.Choi et al. Scripta Mat.(2007)]

Stiffness (base mat.)

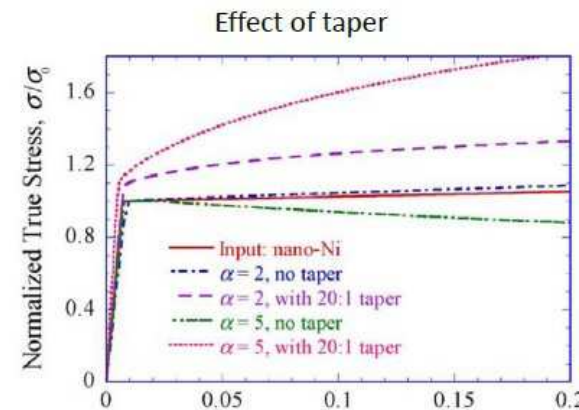
Alignment

Geometrical limit:
Buckling (flambement)
Barreling (Tonneau)

=> Boundary condition
(in theory: no friction)

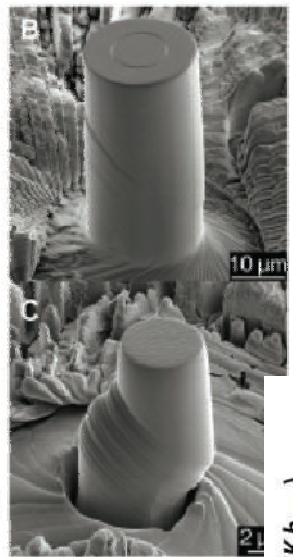
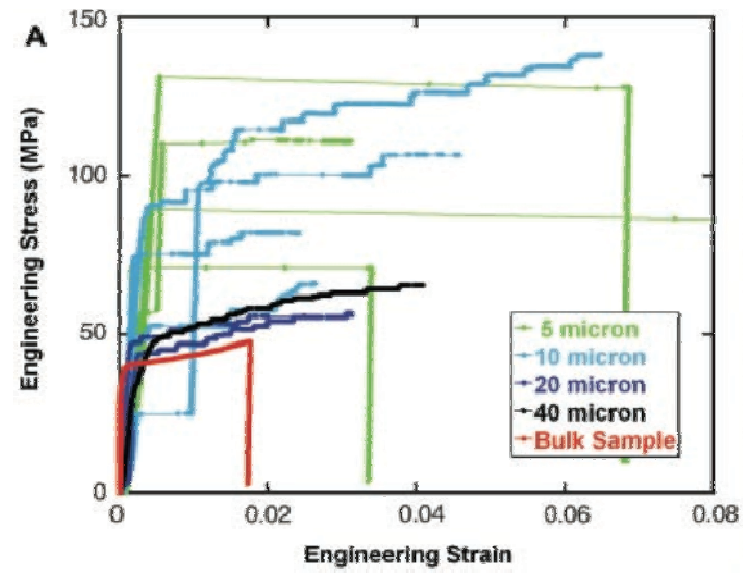


440 nm Dia. Au Pillar
Volkert & Lilleodden 2006

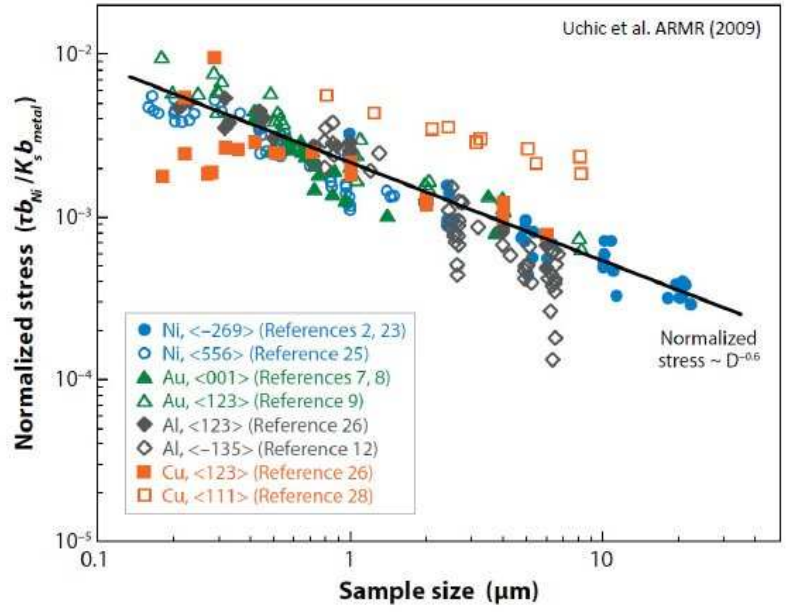
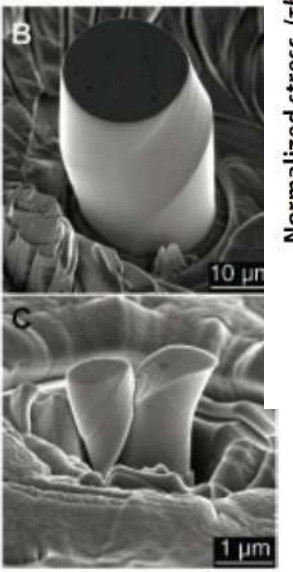
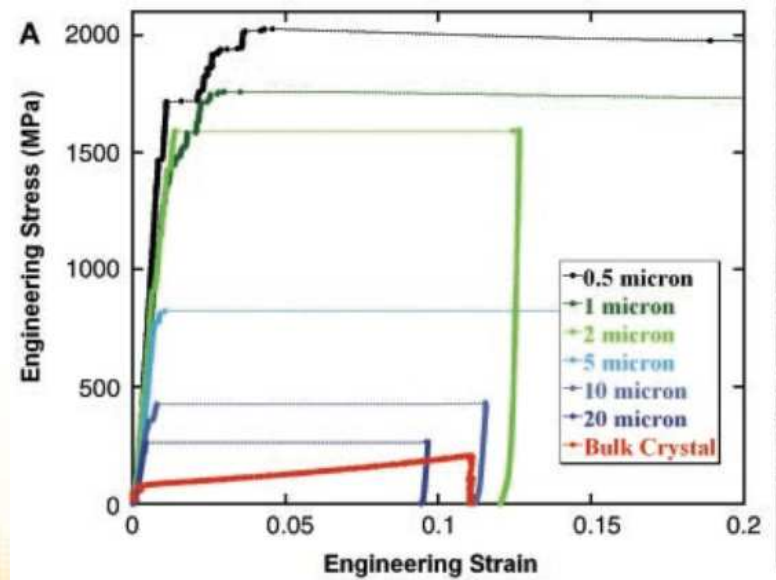


H.Zhang et al. Scripta Mat.(2006)

Ni



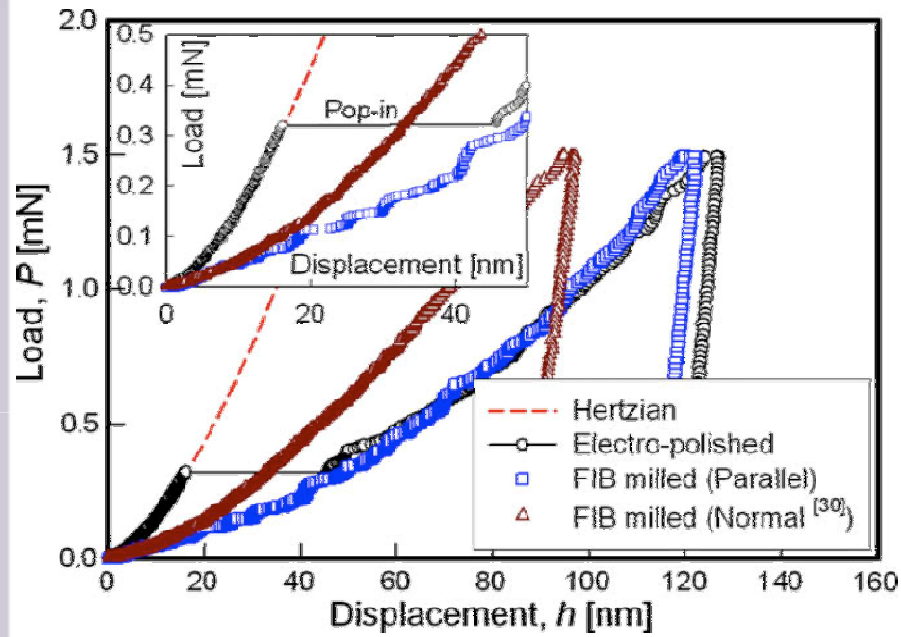
Huge effect !!



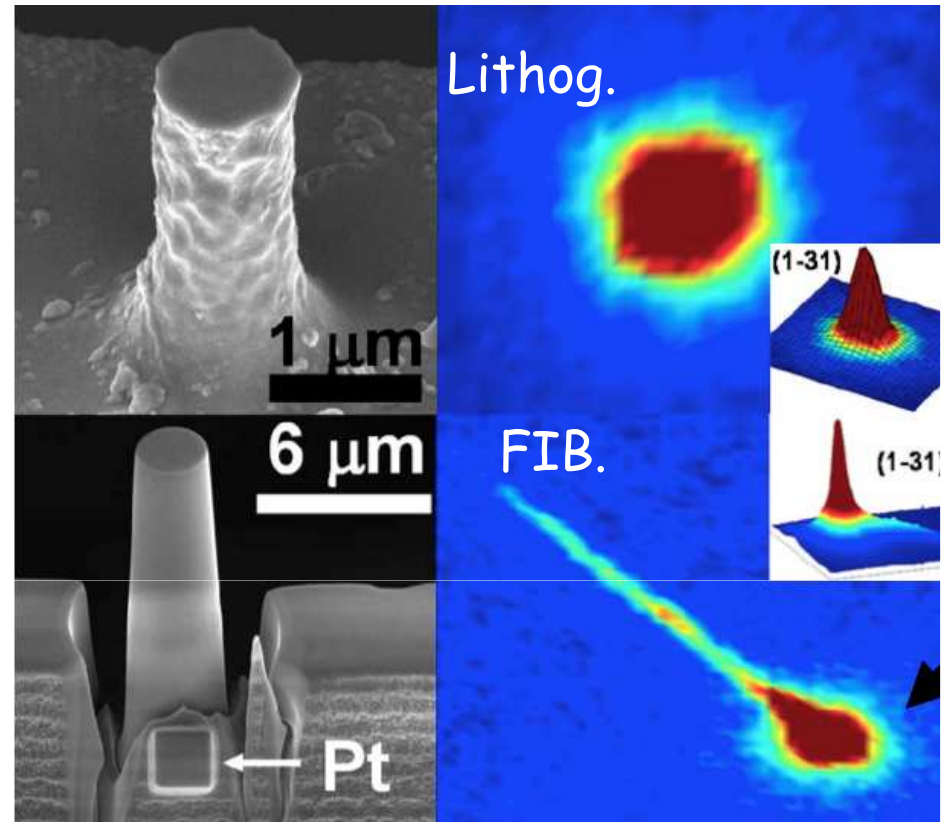
Uchic, Florando, Dimiduk, Nix, Science 2004



Problem : FIB damage



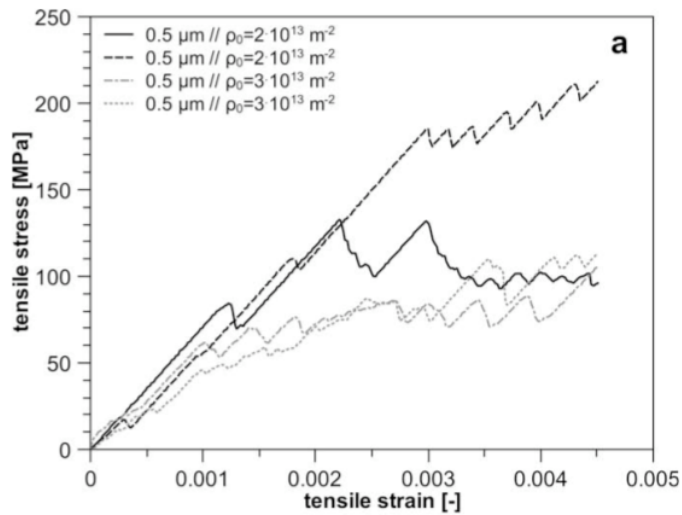
Shim, Bei, George, Pharr, *Acta Materialia* 2009.



Van Swygenhoven,
Volkert et al

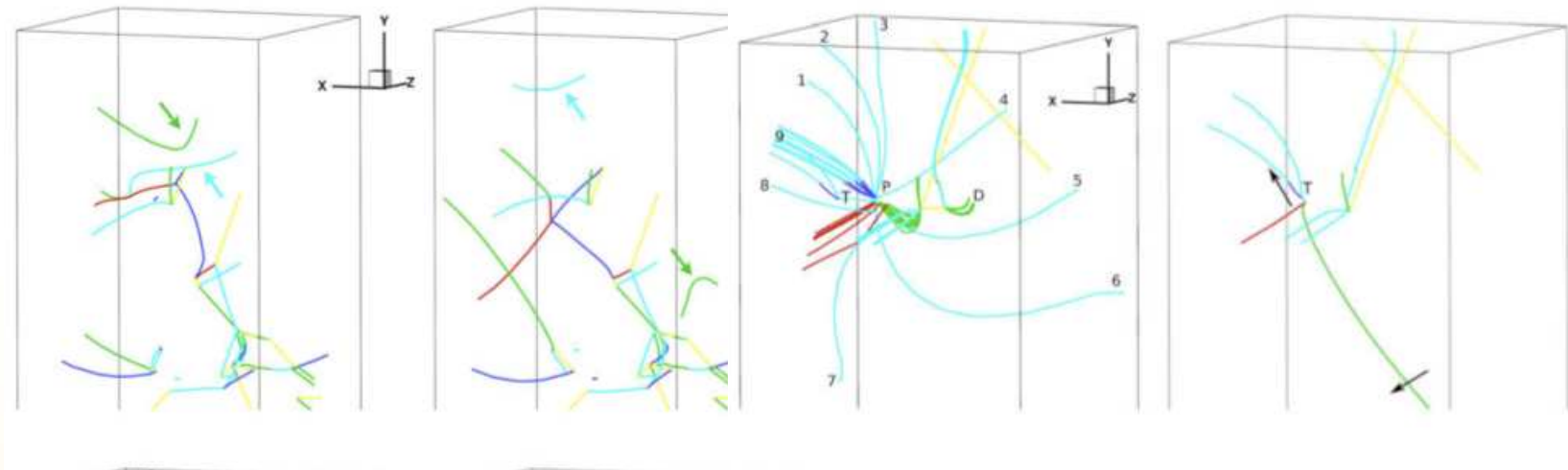
Swiss Light Source /PSI
In situ Laue X-ray

Dislocation Dynamics of micro-pillar



DDD: D.Weygand (KIT)

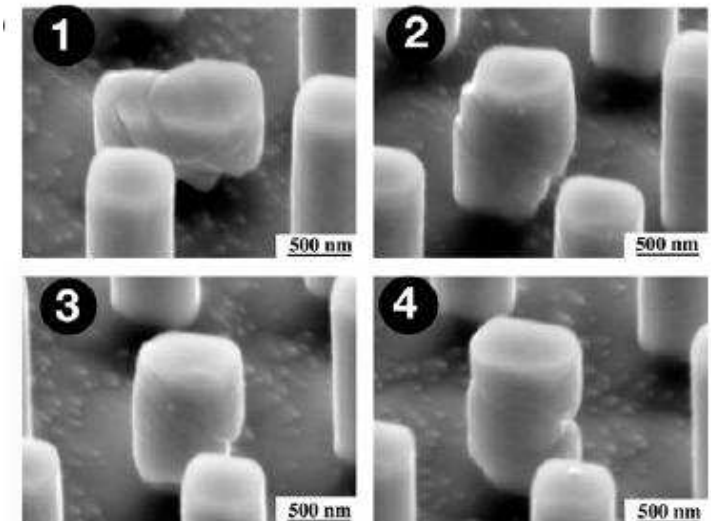
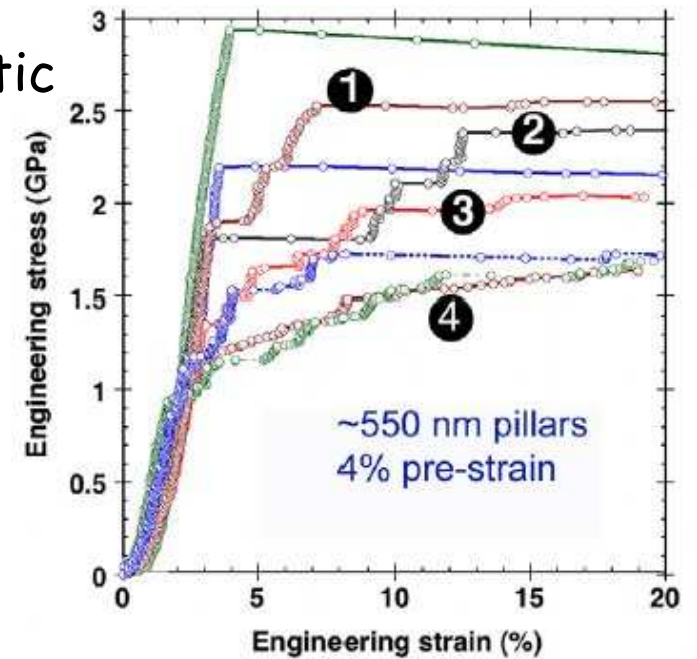
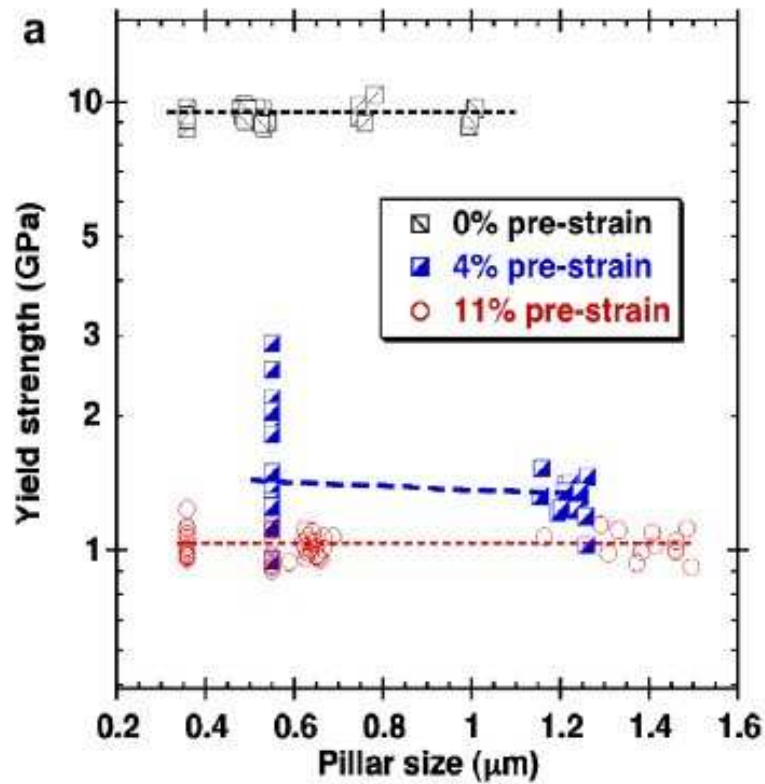
Main effect: initial density of source, distribution of spiral source



Motz, Weygand, Gumbsch et al, Acta Mat 2009

=> Main effect: initial density of defects...

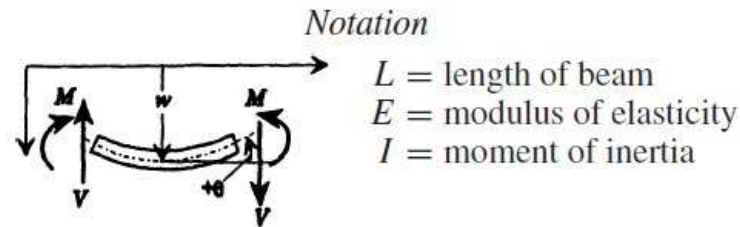
Mo pillars: chemical etching of NiAl-Mo eutectic



[Bei et al. Acta 56 (2008)]

Flexion:

Grande dépendance géométrique: Module (L^3), σ en L^4 ,
 Plasticité: gradient de déformation, σ (épaisseur),
 Déformation localisée aux CL, rigidité des bords
 3 points (Moment linéaire), 4 points (Moment constant)

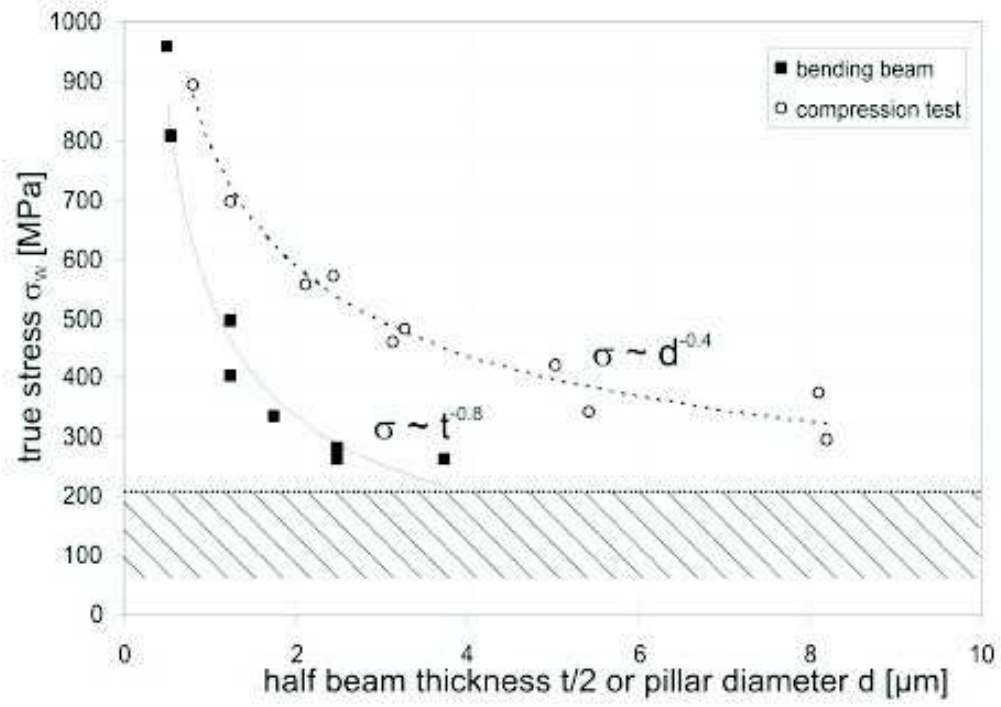
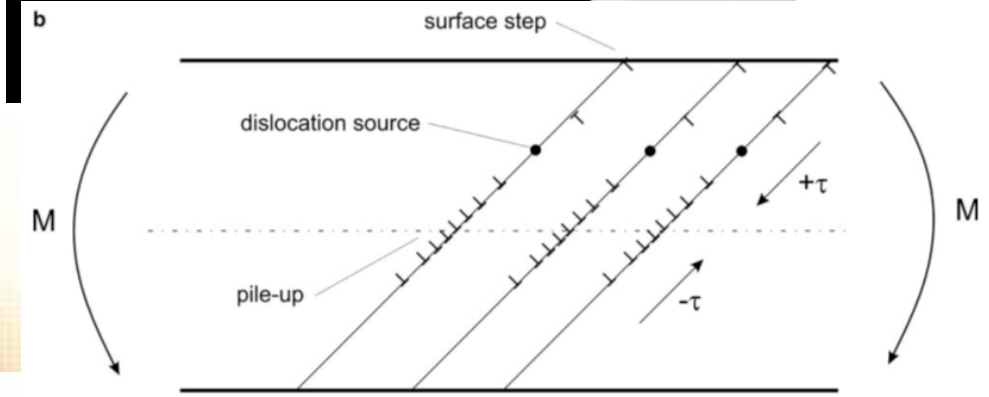
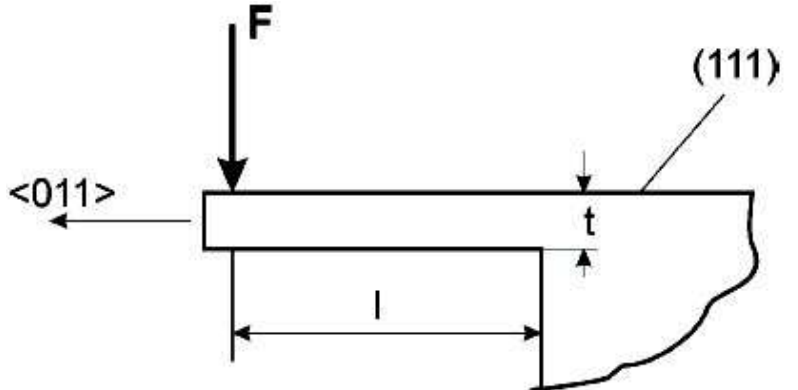


The positive directions of the reactions (R_1, R_2, M_1, M_2) are shown in the figures for each case. Coordinate x is measured from the left-hand end for all entries in this table.

Type of Beam	Reactions	Deflection at Any Point x
1.	$R_1 = W$ $M_1 = Wa$	For $x < a$, $\frac{W}{6EI}(-x^3 + 3x^2a)$ For $x \geq a$, $\frac{W}{6EI}(3a^2x - a^3)$

Flexion:

D.Kiener et al. Adv.Eng.Mat. 8 (2006)



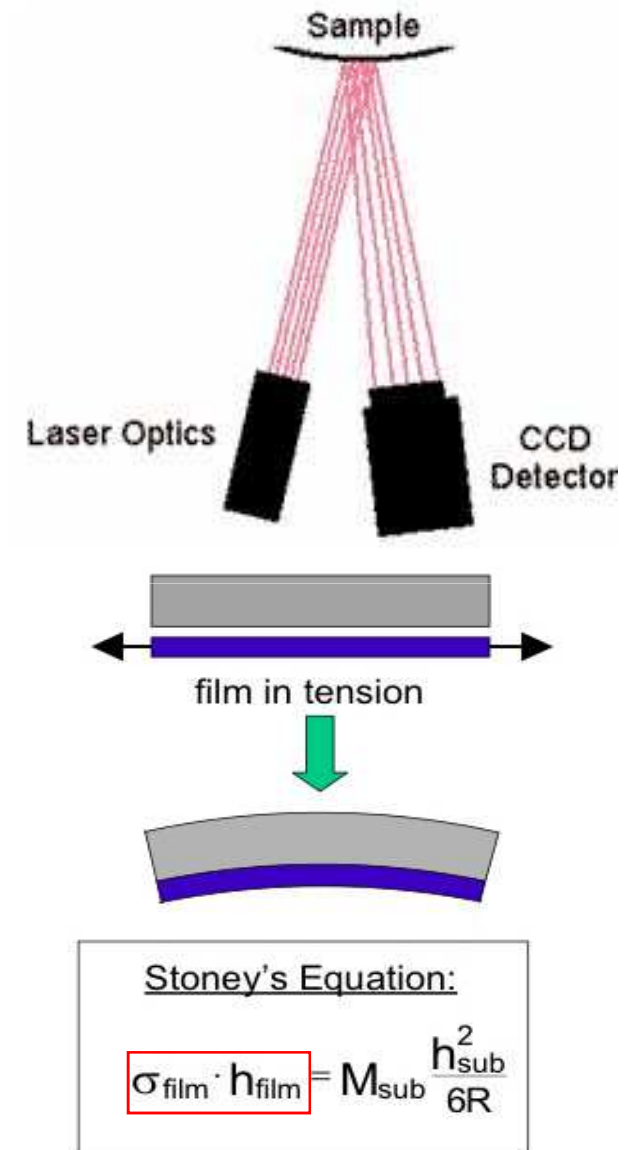
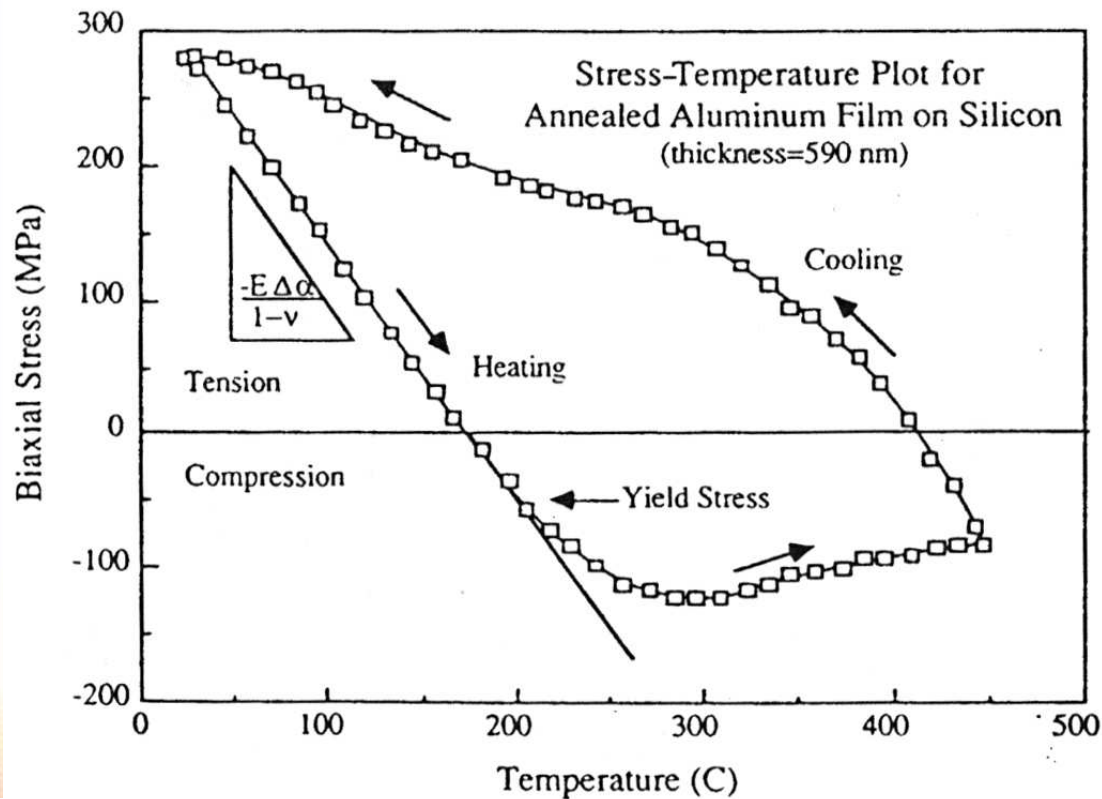
*Strong effect of strain gradient
Exponent n of $t^n > micropillar$*

Curvature measurement

Imposed strain: thermal mismatch $\Delta\alpha\Delta T$: < 0.5%

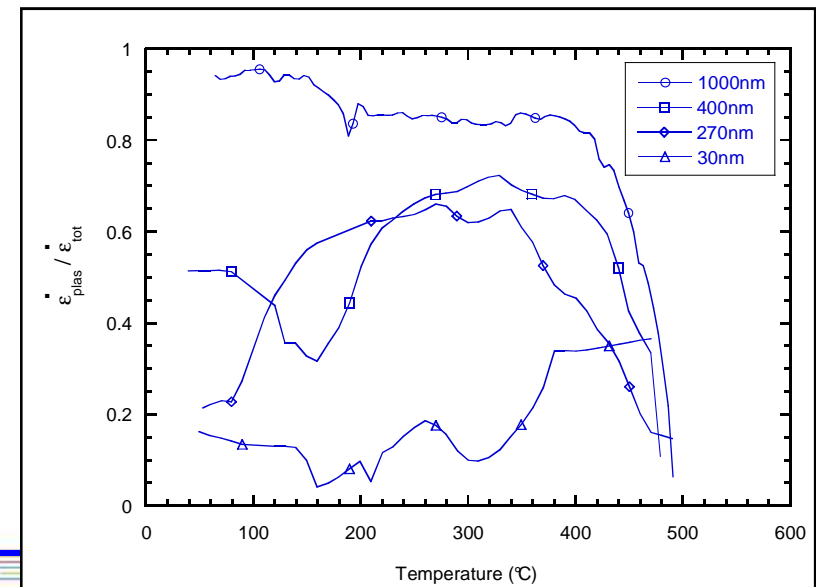
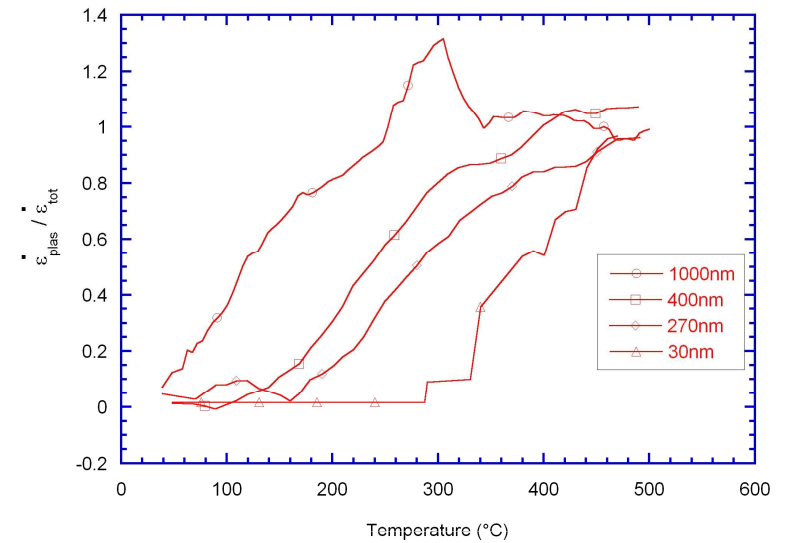
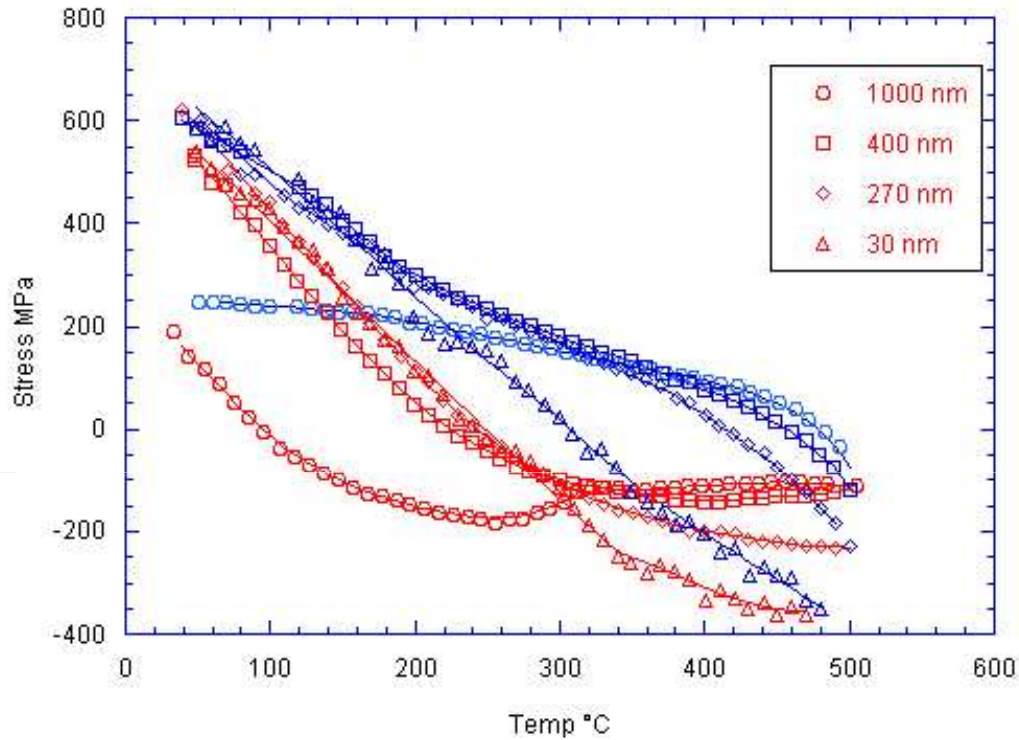
-Substrate is the strain gauge (elastic)

-Plasticity on heating and cooling



Thin film on substrates: stress temperature measurements

Data from E.Arzt group: T.Balk et al (2003), G.Dehm et al (2003), Weiss et al (2001)



$$\left. \begin{aligned} \dot{\sigma}_a &= C(\dot{\epsilon}_a - \dot{\epsilon}_p) \\ \theta &\equiv \frac{d\sigma_a}{d\epsilon_a} = C \left(1 - \frac{\dot{\epsilon}_p}{\dot{\epsilon}_a} \right) \end{aligned} \right\} \rightarrow \dot{\epsilon}_p = \dot{T} \left(\alpha - \frac{d\sigma}{CdT} \right)$$

[Saada et al. 2007]



Thin film on substrates: stress temperature measurements

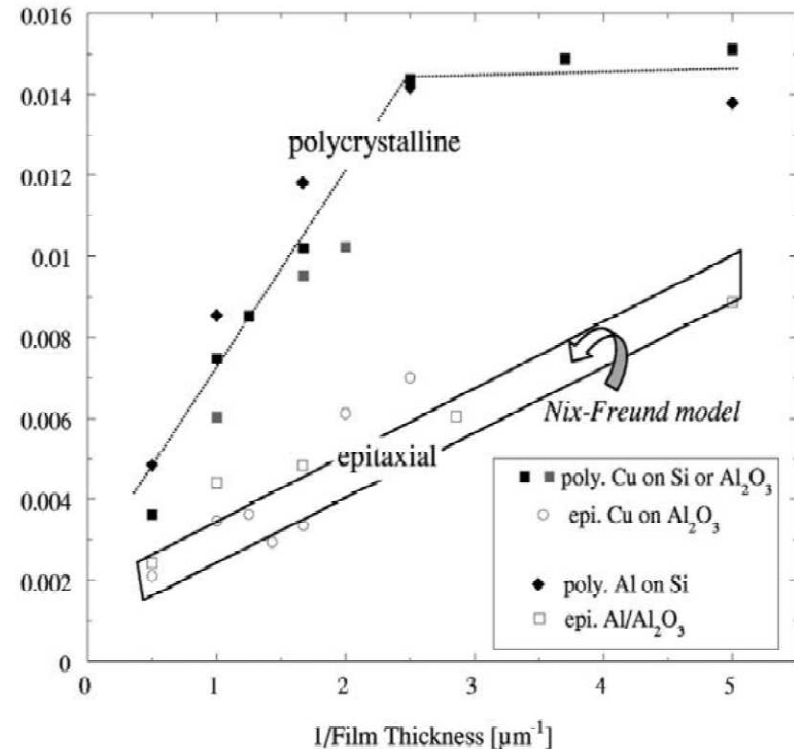
On cooling, deformation in polycrystalline films is in the elasto-plastic transition ($h < 1\mu\text{m}$)

Only thick film deforms in the plastic regime,

Applied stress more or less sufficient

⇒ Residual stress is NOT the elastic limit

Not the case for epitaxial films



G. Dehm et al, J. Microelect. Eng. (2003)

Nanoindentation

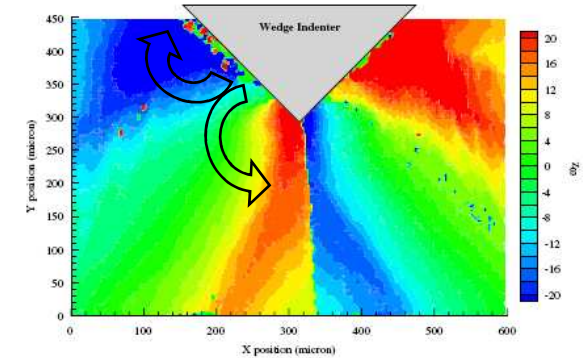
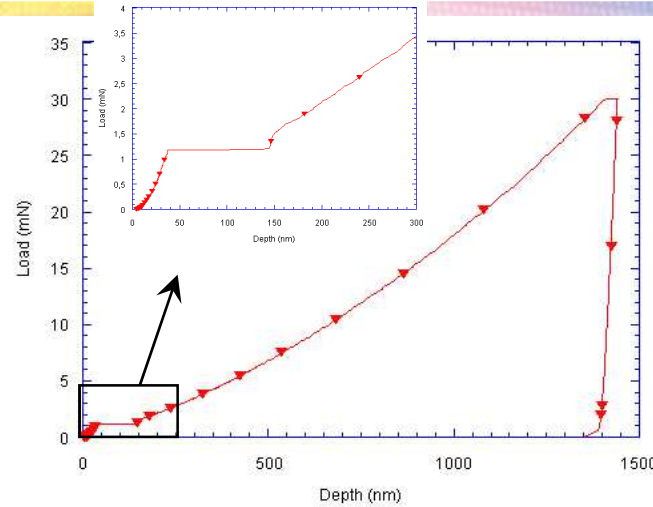
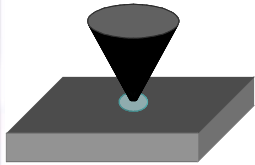


Fig. 7. In-plane lattice rotation (in degrees) map of Cu single crystal under wedge indentation.

J.W. Kysar et al. / J. Mech. Phys. Solids 55 (2007)

Module : $E/(1-\nu^2)$,

Conditions limites mixtes, chemin déformation complexe...

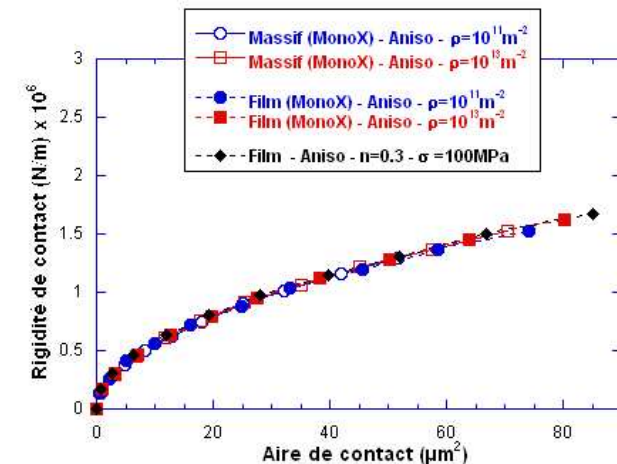
Effet géométrie pointe: type sollicitation : ϵ cst –cone autosimilaire; ϵ croissant -sphere,

-Gradient de déformation

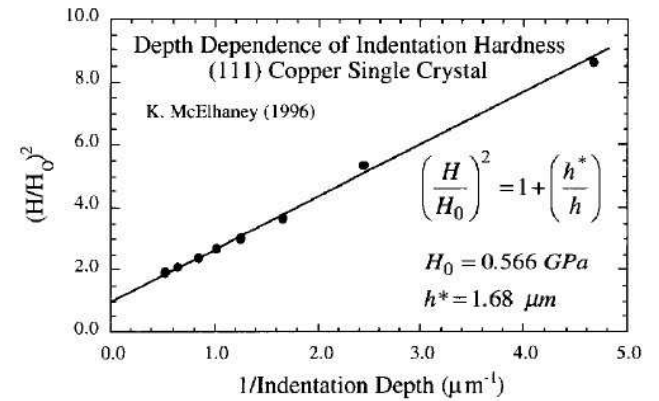
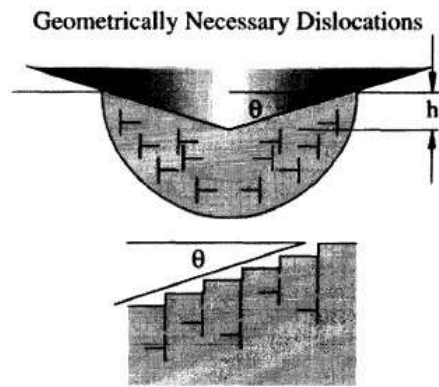
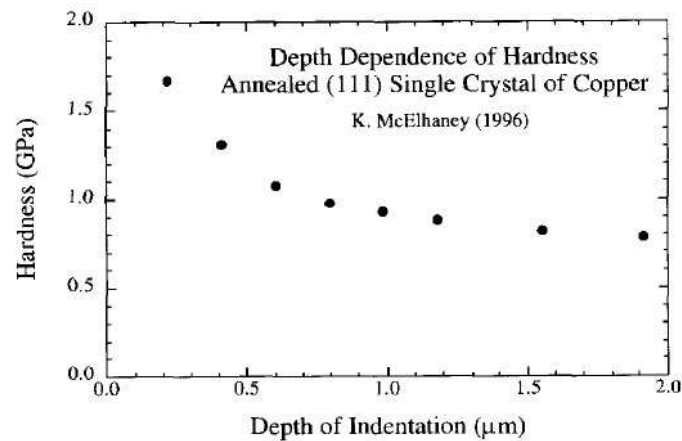
⇒ Unique relation (Sneddon): rigidité du contact: **$S = 2.E^*.r_c$** toujours valide (élast./plastique...) !

(Elastic)

Indenteur	Cône	Sphère	Poinçon plat
$P(h)$	$\frac{2}{\pi} E_{eq} \tan(\psi) h^2$	$\frac{4}{3} E_{eq} \sqrt{Rh}^{\frac{3}{2}}$	$2E_{eq}Rh$
$S(h) = \frac{dP}{dh}$	$\frac{4}{\pi} E_{eq} \tan(\psi) h$	$2E_{eq} \sqrt{Rh}$	$2E_{eq}R$
$r_c(h)$	$\frac{4}{\pi} E_{eq} \tan(\psi) h$	\sqrt{Rh}	R
$S(r_c)$	$2E_{eq}r_c$		



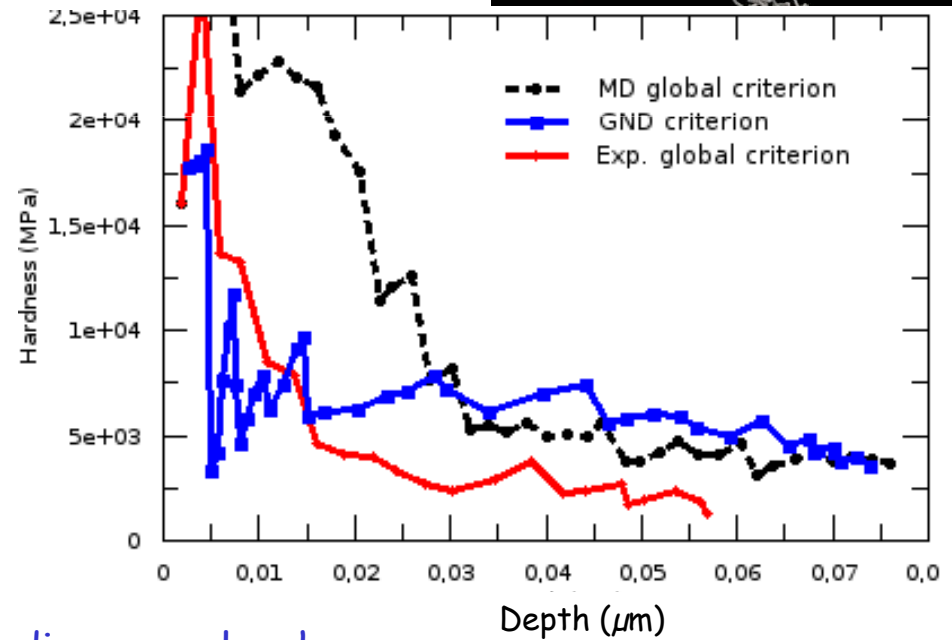
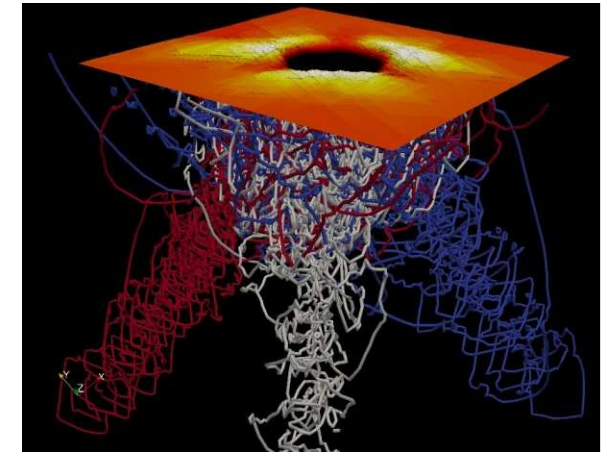
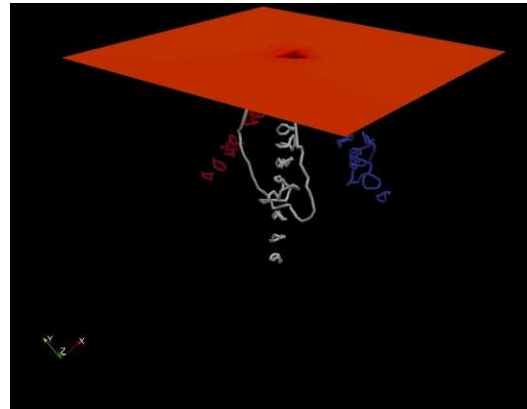
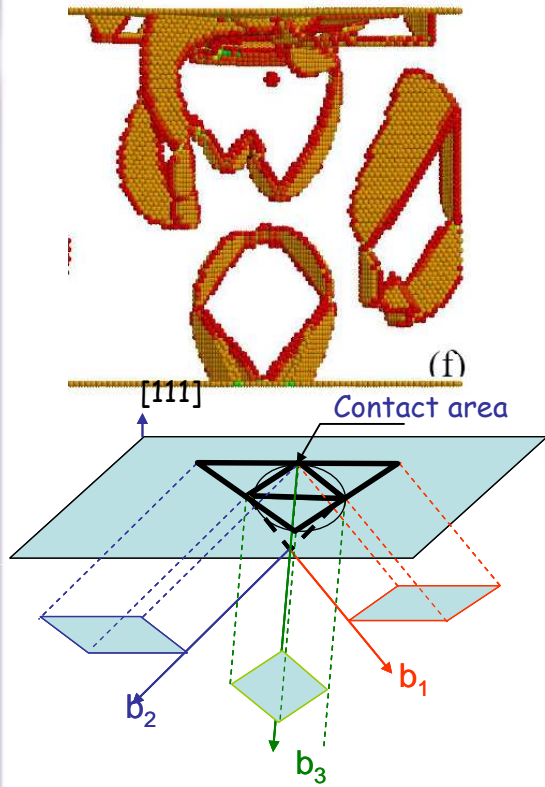
Size effect



$$\rho_G = \frac{3}{16bh(\cot \theta)^2} \xrightarrow{\tau = \alpha \mu b \sqrt{\rho_G + \rho_0}} \left(\frac{H}{H_0}\right)^2 = 1 + \frac{h^*}{h}$$

- !! Surface preparation / crystal prep. (UHV) not mech. Polishing...
- More an elastic-plastic transition (nucleation/expansion: see DDD)
- Tip shape is not ideal on the whole length scale

Dislocation dynamics (TRIDIS)



Nucleation criteria: to be investigated

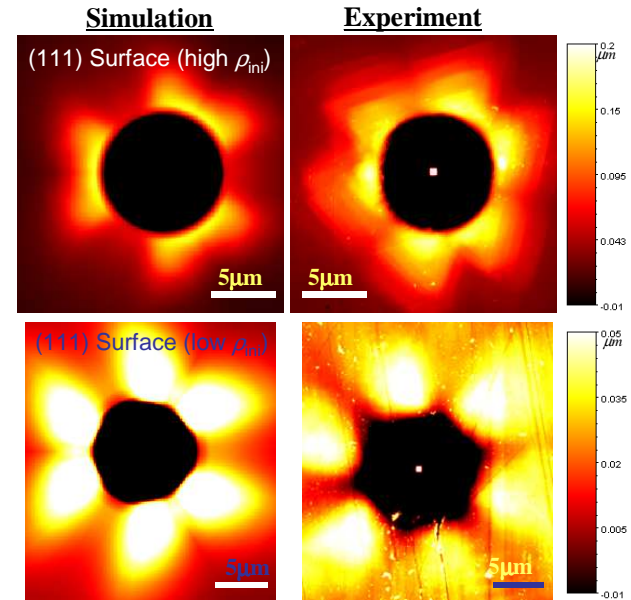
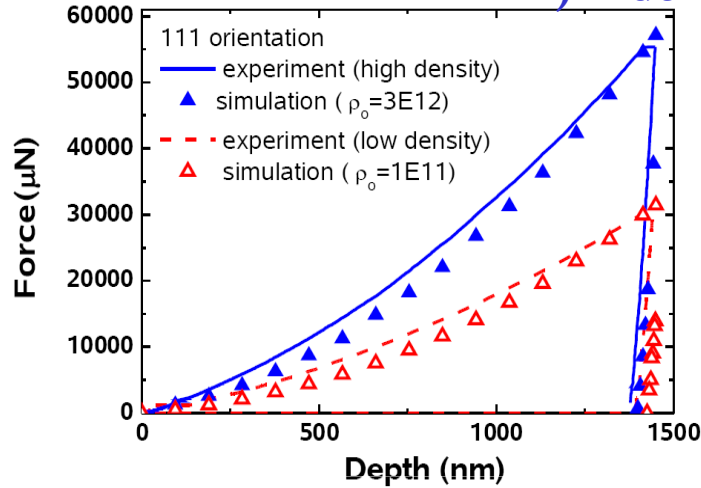
Size effect here with prismatic loop radius \rightarrow avalanche

[Huang et al. CRAS 2011]

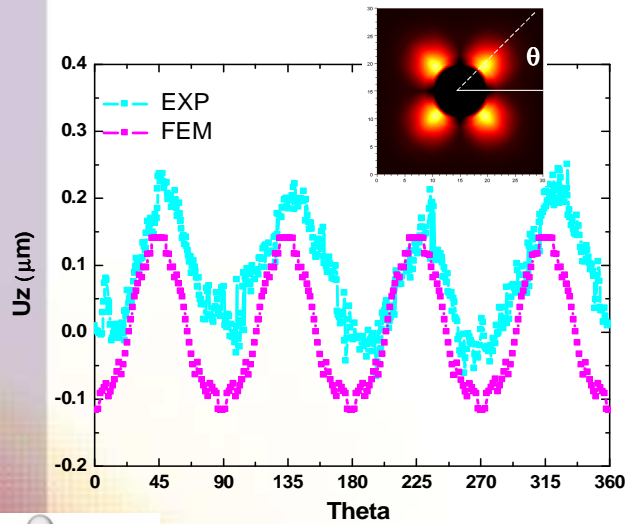
Crystal plasticity FEM

Unique ajustement: densité de dislocation initiale sur la courbe de force:

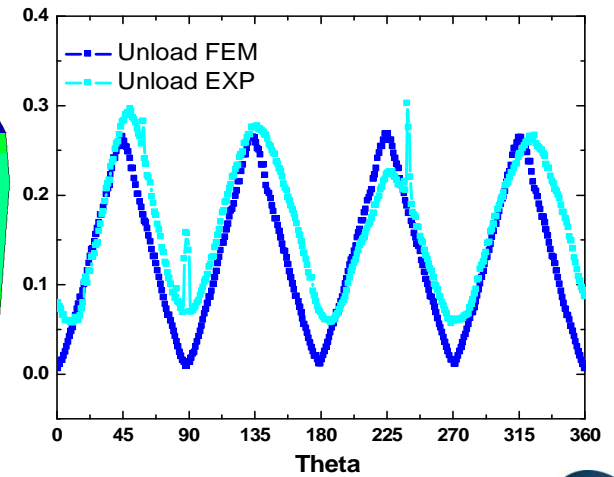
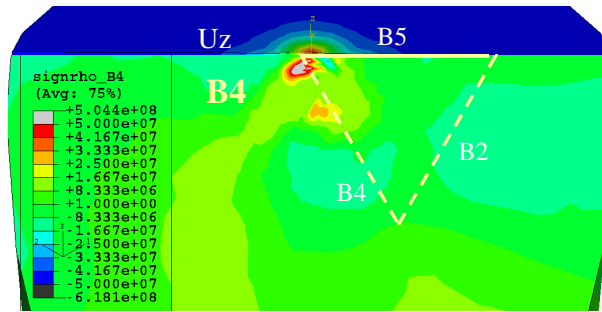
- 1) bon ordre de grandeur (/RX)
- 2) déformée de surface aussi !!



Ex: 2 monocristaux Cu [111]

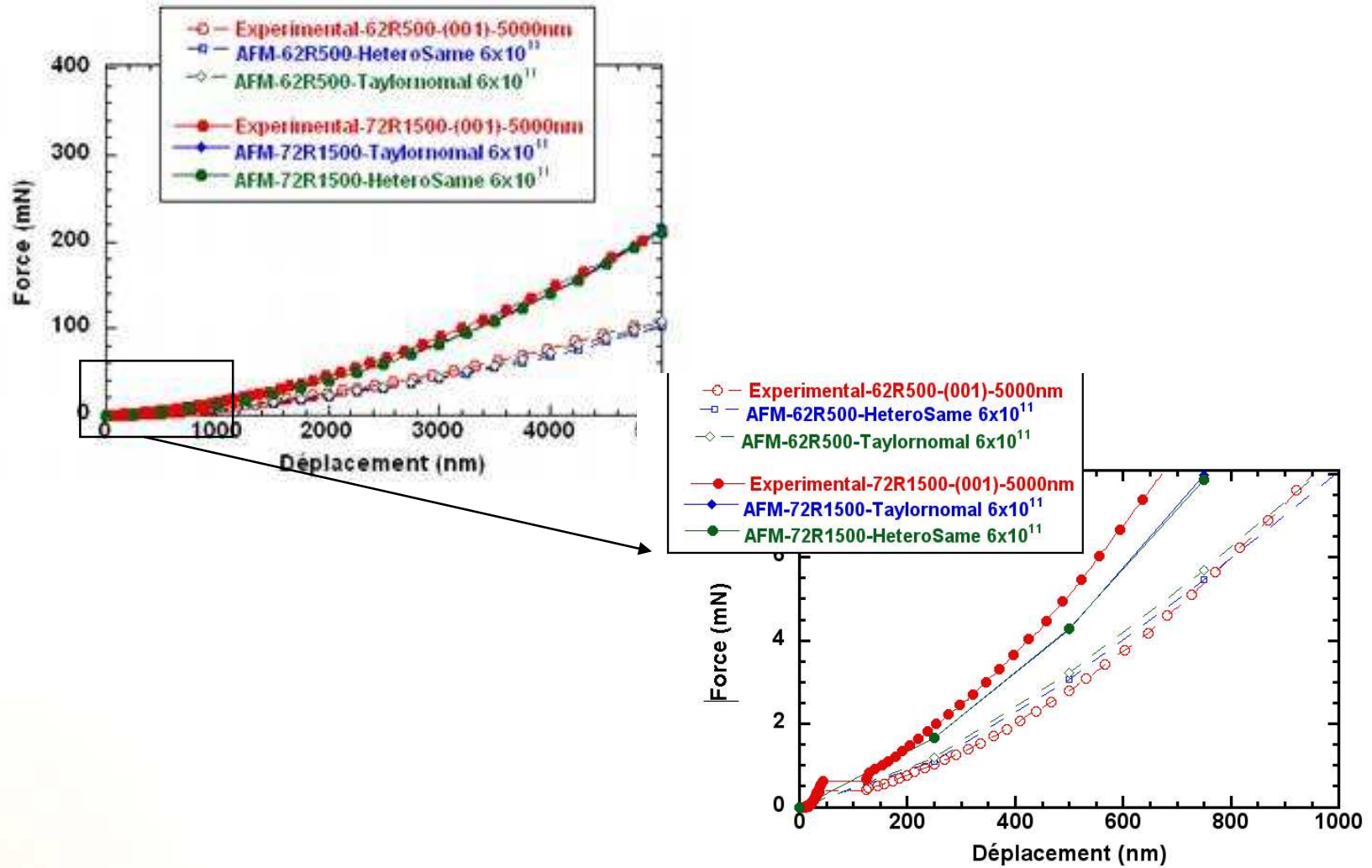


Ex: monocristal Cu [001]



Robust modelling: change of indenter tip angle

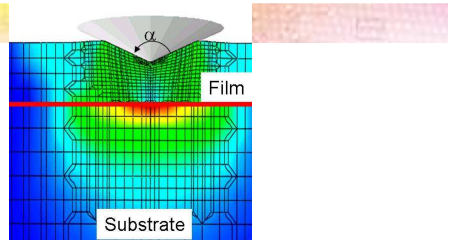
Same single crystal[001], 2 different tip angle (62, 72°)



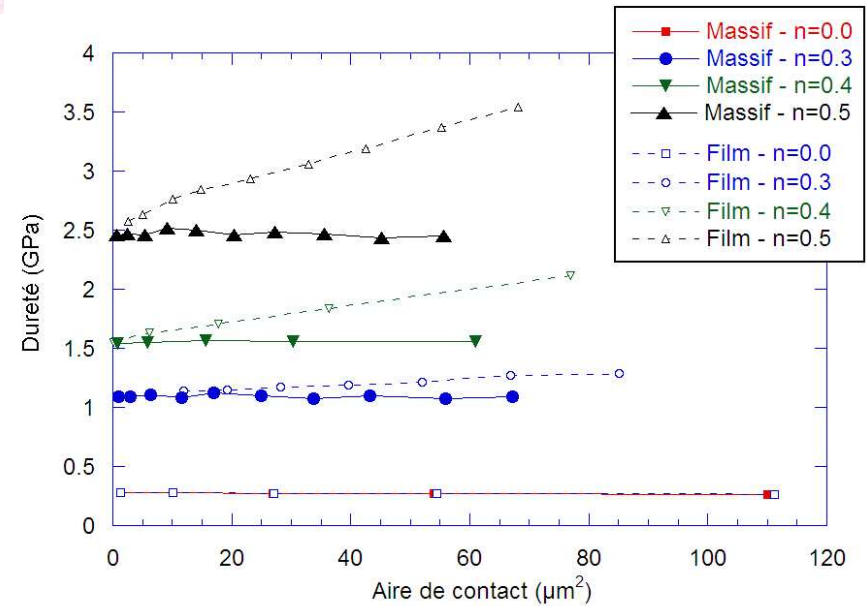
[Thèse S.VuHoang]

Film on substrate

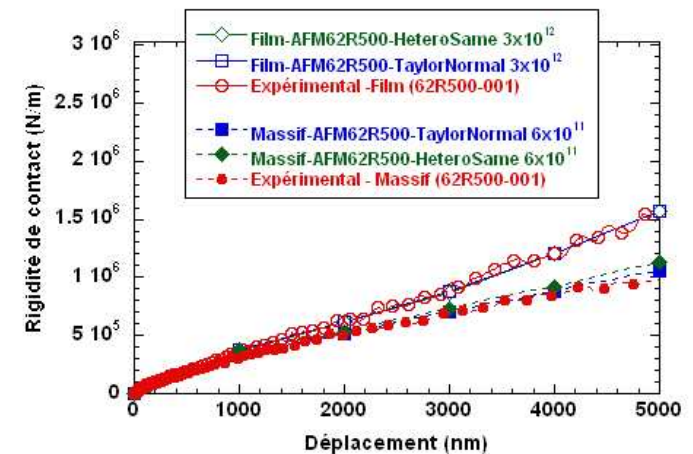
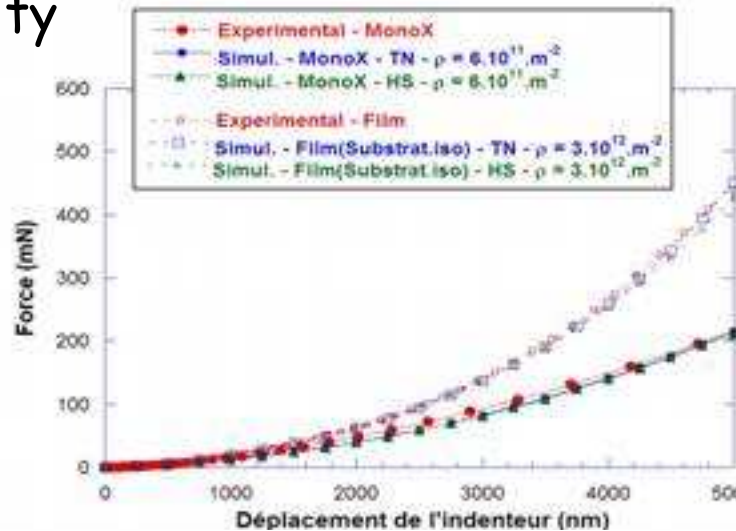
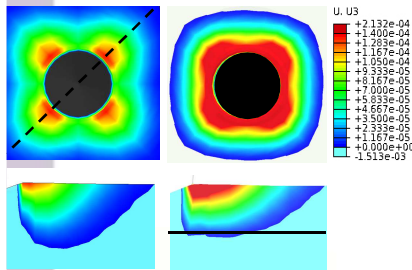
[Thèse S.VuHoang]



Introduction of length scale (film thickness):
 Hardness(depth) : a good probe for strain hardening !



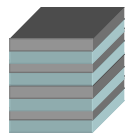
Crystal plasticity



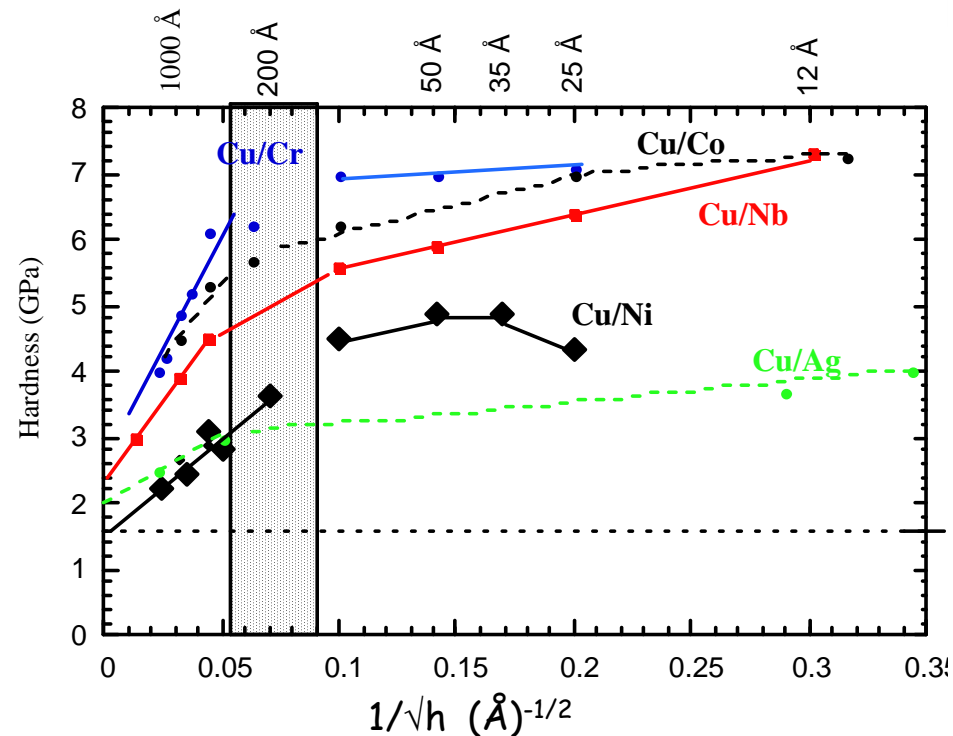
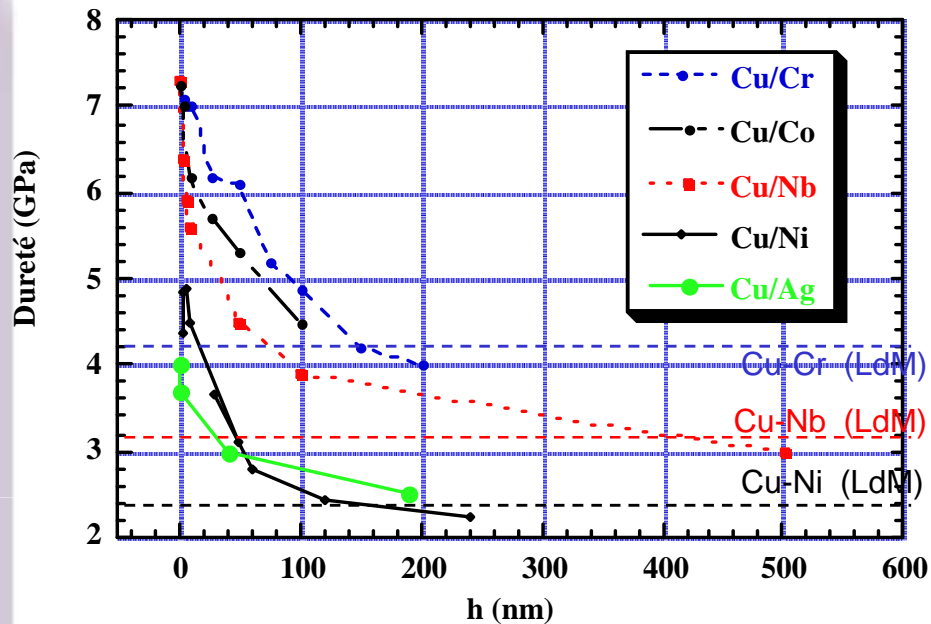
⇒ Good quantitative agreement with experiments (tested up to 50% film thickness),

No strain gradient formulation, Load(displacement) AND S(displacement) $2.E*.r_c$

Microstructure:
Multilayers



Mechanical strength

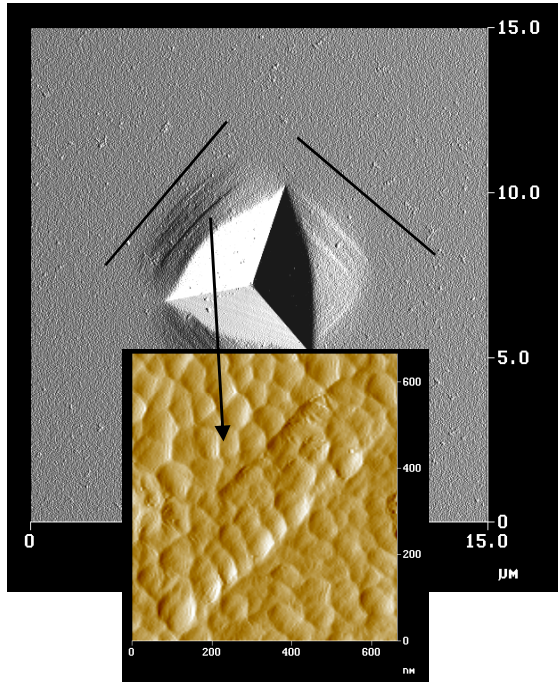
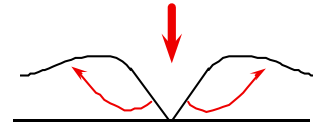


Système :	Structure cristall.	Misfit / relation d'orientation ou texture mesurée	μ_X / μ_{Cu}
Cu / Cr	cfc / cc	2.3 % {111}cfc // {110}cc	2.4
Cu / Co	cfc / hc	2.5 % <111> texture	1.5
Cu / Nb	cfc / cc	10.5 % {111}cfc // {110}cc et <110>cfc//<111>cc	0.8
Cu / Ni	cfc / cfc	2.5 % Epitaxy Cube/Cube	1.5
Cu / Ag	cfc / cfc	10.2 % <111> texture	0.56

[Misra et al. Scripta Mat. 1998; Verdier et al. Advanced Mat. 2001]

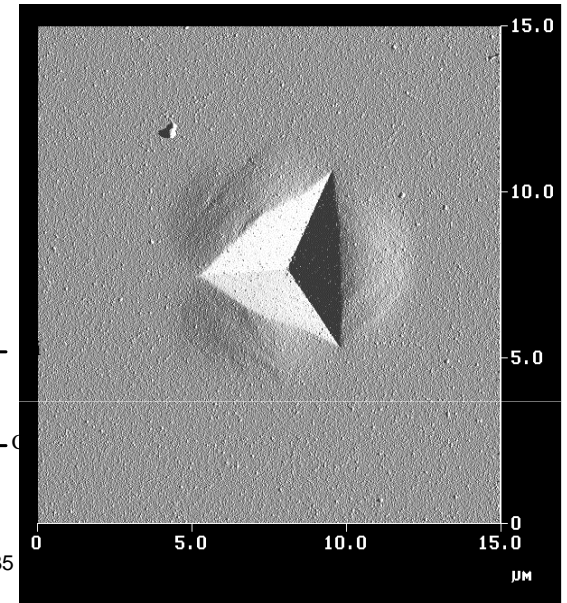
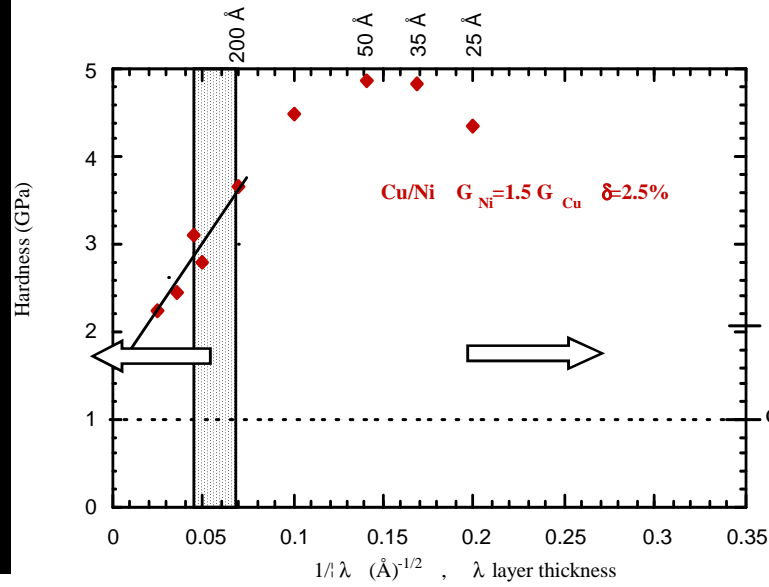
Deformation mechanism

Cu/Ni [001]

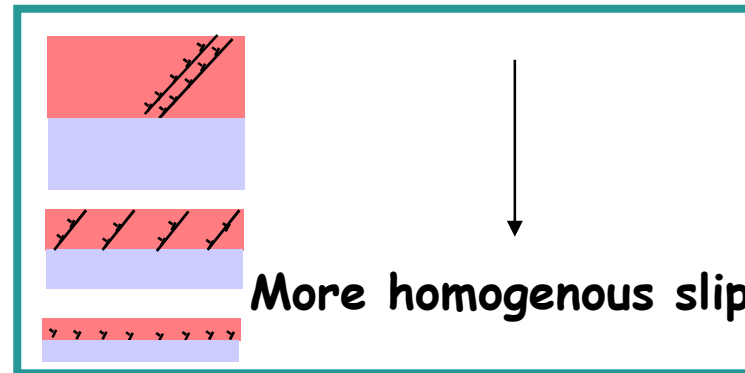


$h = 50 \text{ nm}$

[M. Verdier et al, MRS v522, 1998]



$h = 2.5 \text{ nm}$



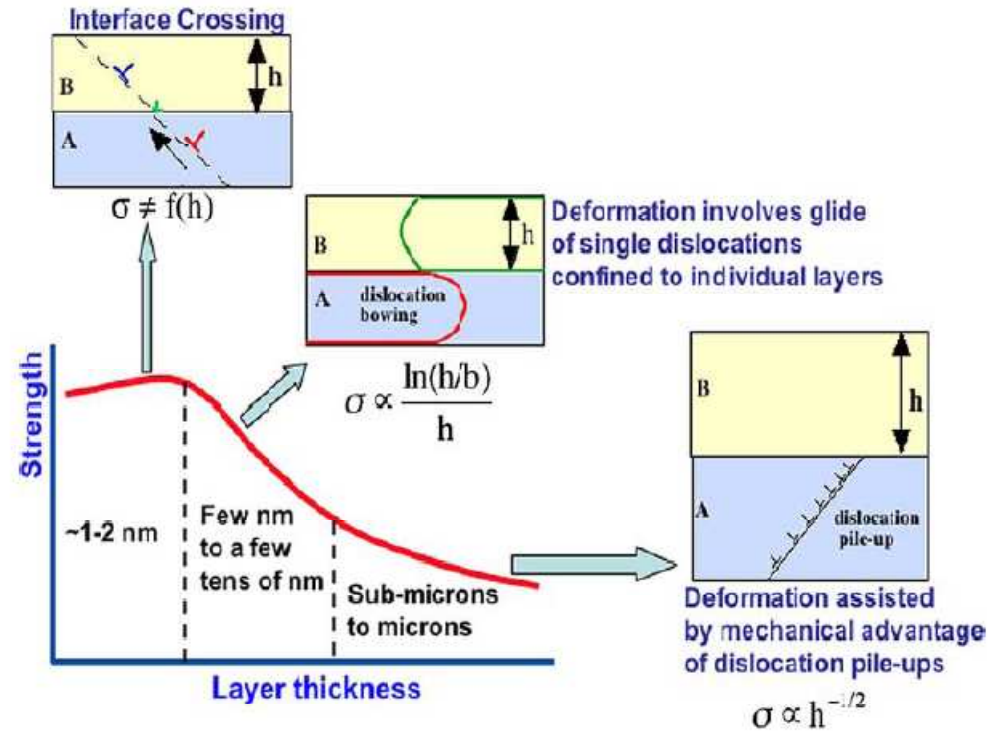
Current interpretation

'Bulk like'

phenomenological Hall-Petch slope k
 \leftrightarrow interface strength τ^*

$$\tau^* \approx (4\mu b/\pi(1-\nu))^{0.5}$$

-Order of mag. of k relates to the max. strength obtained : not quantitatively



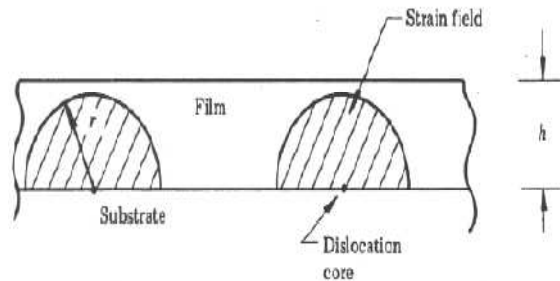
[Embury, Hirth, Acta Met Mat 42 (1994)] [Misra et al, Scripta 41, 973 (1999)]

Single dislocation mech. / nature of interface

Coherent-semicoherent interface

Cu/Ni

Cu/Ag



-coherency stress :

provides confinement

cancels image force (non lin. elast. in Cu/Ni).

-semi-coherent : planes between misfit core is still a strong barrier (coherent)

-if small misfit spacing: core spreading lowers interface barrier (Cu/Ag)

Incoherent interface

Cu/Nb

-Orowan stress : $\mu b/h \ln(\alpha h/b)$

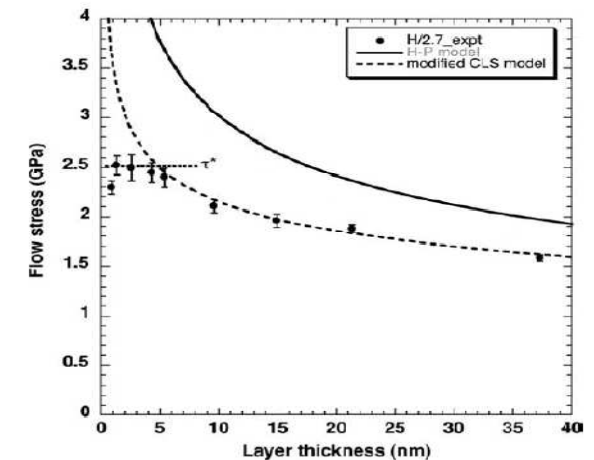
-> α : core spreading at interface (0.2) (from MD)

-Interface stress : $- f/h$

$f=2.9 \text{ J/m}^2$

-Misfit / dislocation-dislocation interaction,

Spacing of loops: λ $+C/\lambda$



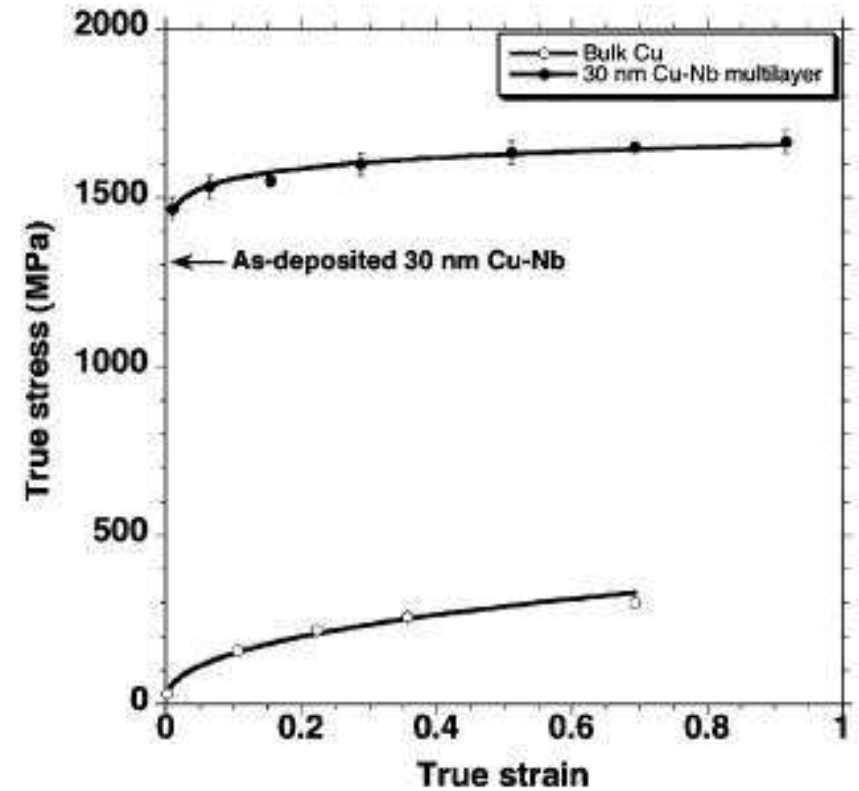
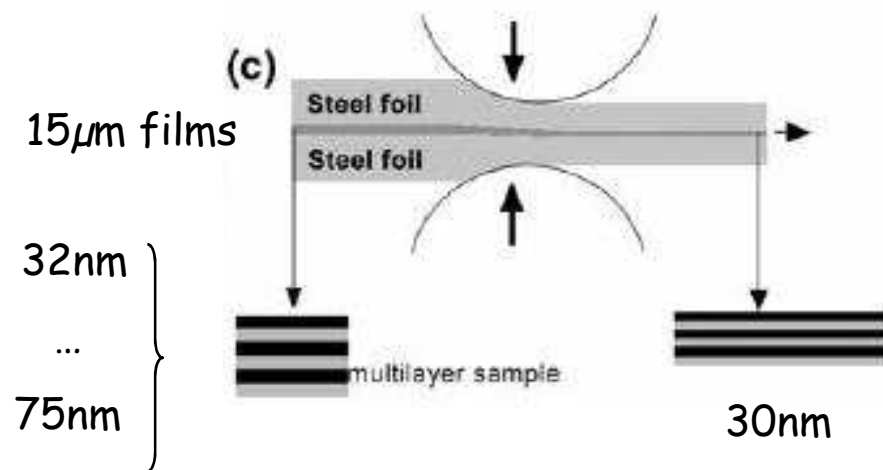
From simple modelling and extensive EAM studies:

[Embury,Hirth, Acta Met Mat 42 (1994)] [Misra et al, Scripta 41, 973 (1999)] [Misra et al, Acta Mat. 53,4817 (2005)]

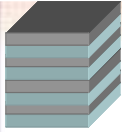
[Rao,Hazzledine, Phil.Mag 80,2011 (2000)], [Hoagland et al, Phil.Mag 82,643 (2002)]

Large strain

- Evaluation of work hardening at 30 nm length scale (Cu/Nb)

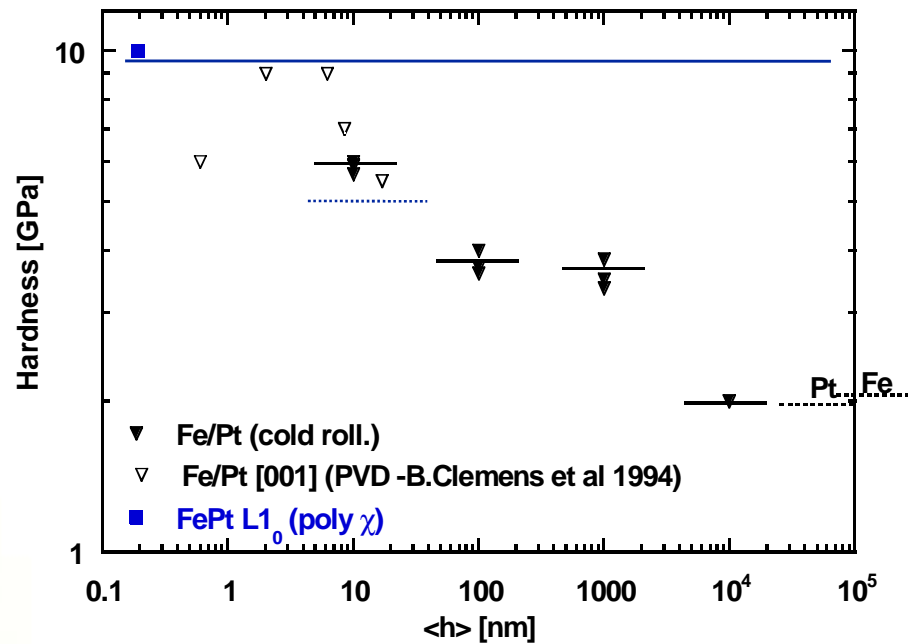
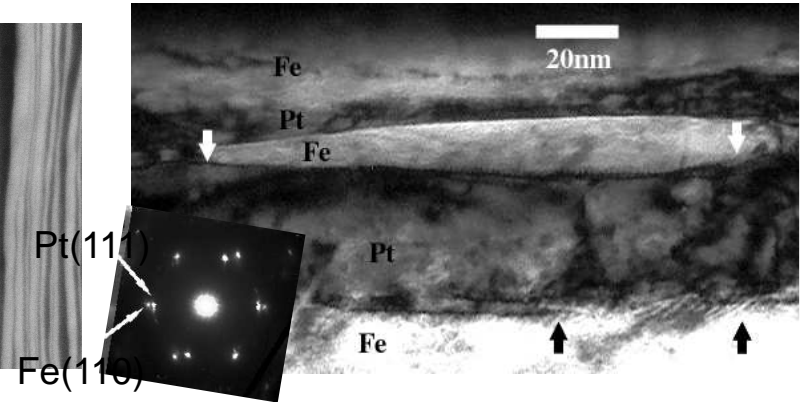
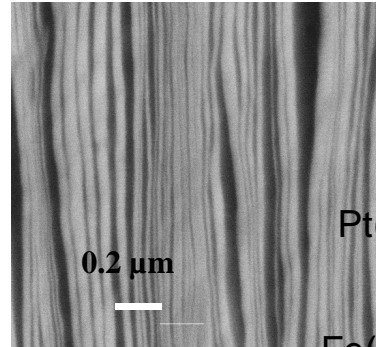
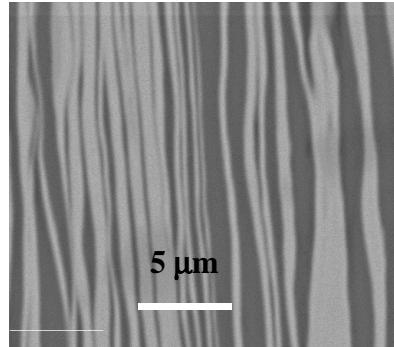
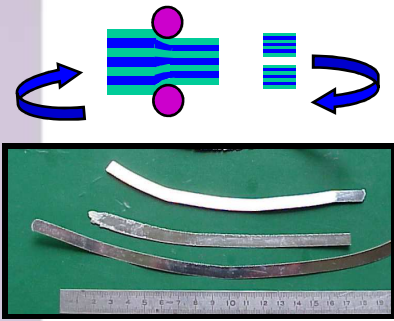


[Misra et al Acta 53 (2005)]



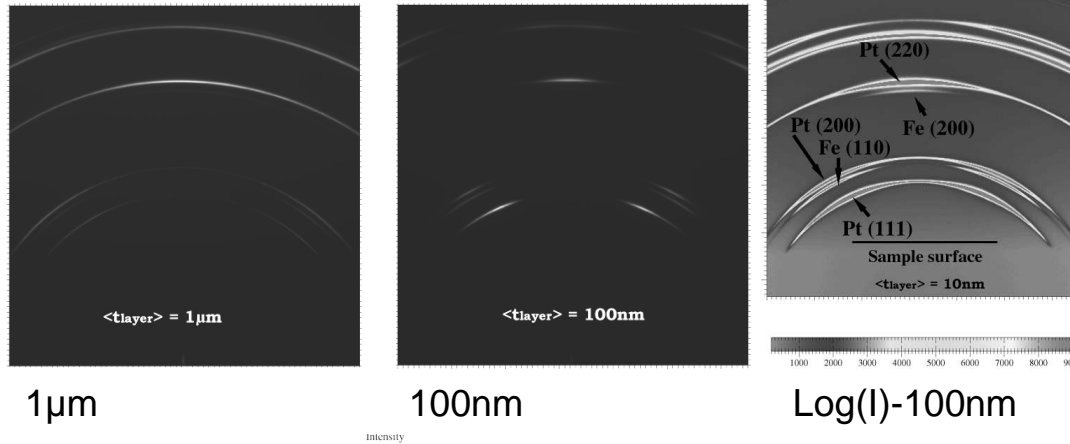
Large strain ex: Fe/Pt

Plastic codeformation -sheath cold rolling : layer reduction down to a few nm



Signature of interface plasticity

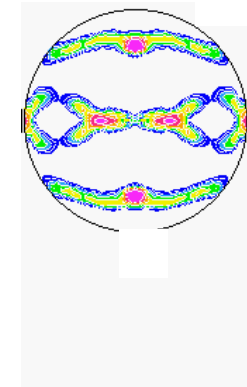
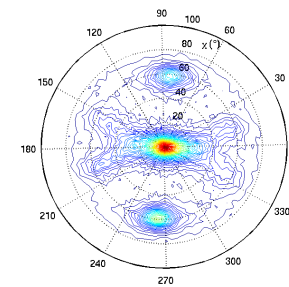
(<1μm) : plasticity confined at interfaces



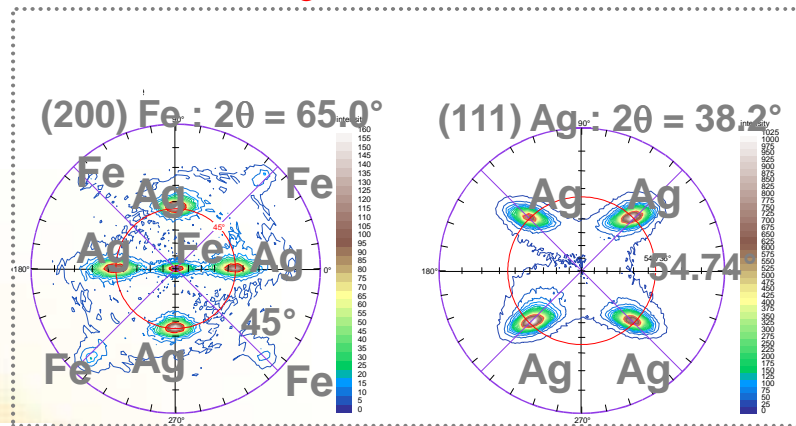
[Hai et al. JMMA (2003), Verdier et al PhilMag (2005)]

Pole figure (011)
Pt – experimental

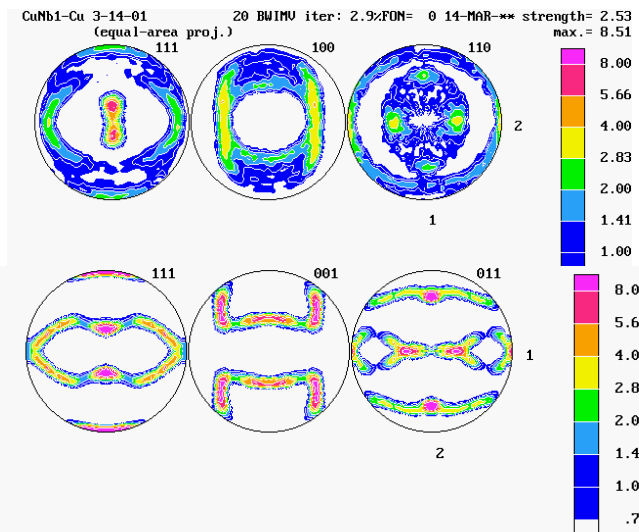
cold rolled fcc



Fe(100)[011]//Ag(100)[001] (Cube/Cube)



Cu/Nb: (KS)



In summary

Small strain measurements:

- Large extent of micro-deformation with decreasing size, elasto-plastic behavior
- Microplasticity obviously depends on #source, stress activation is still $(1/h)$, boundary cond
- Conventional 0.2% has no physical meaning, 1 dislocation @200nm = 0.1% $\Rightarrow b/h$
- Hall Petch-like behavior stems from very large initial strain hardening

Large strain characteristics:

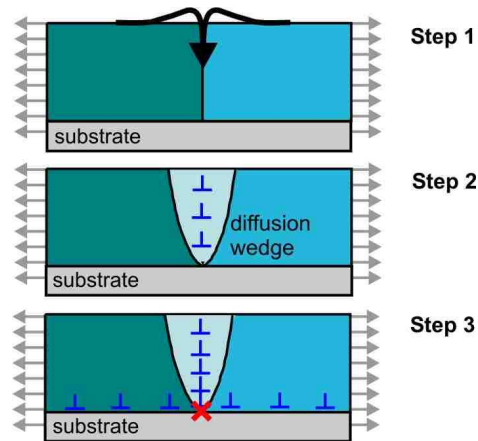
- small hardening (as compared to bulk)
- Texture refinement for confinement $<1\mu\text{m}$,
- unusual/unpredicted orientations (case/case)

Left over : in situ techniques

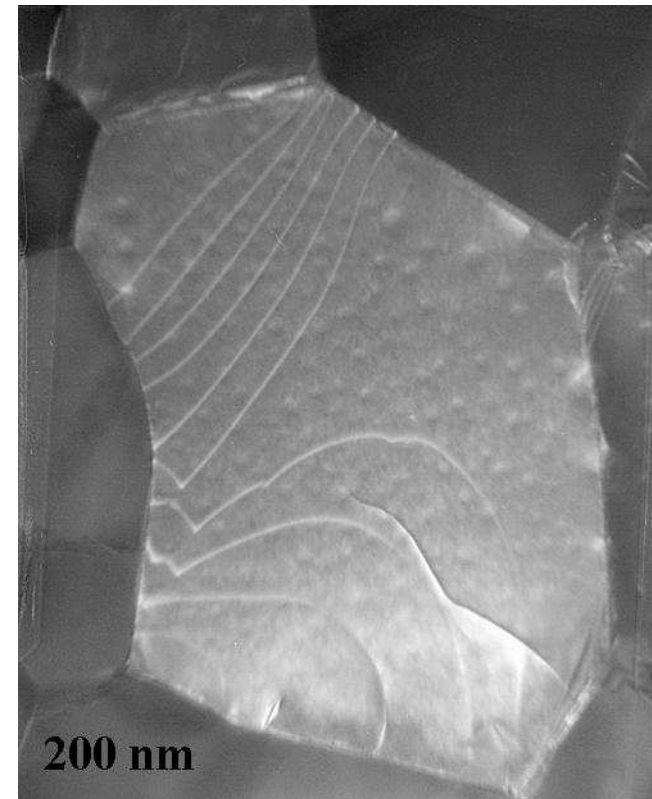
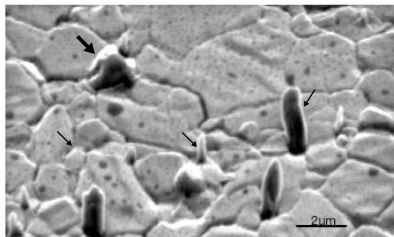
TEM:

-A.Minor/Berkeley : nanopillars

-M.Legros (CEMES): polycrystalline thin films, compressive stress relaxation by diffusion at g.b., // dislocation = transport



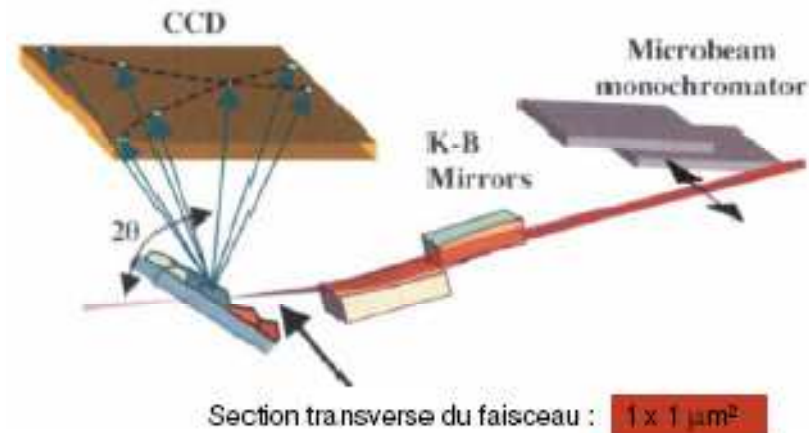
F.Gao model



200 nm Cu films
Balk et al Acta Mat 2003

Synchrotron facilities:

$$\begin{pmatrix} \varepsilon_{11} & \varepsilon_{12} & \varepsilon_{13} \\ \varepsilon_{12} & \varepsilon_{22} & \varepsilon_{23} \\ \varepsilon_{13} & \varepsilon_{23} & \varepsilon_{33} \end{pmatrix}$$



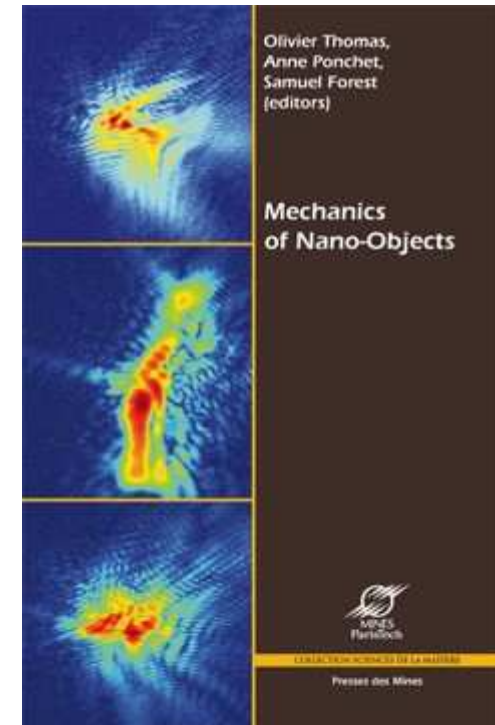
- μ Laue (white beam): H. Van Swygenhoven (SLS PSI): tensile/ micropillar
- 3D full strain tensor determination: (N.Tamura ALS) μ Laue-Monochromatic beam

ESRF:

- O.Robach (interconnect Cu lines)
- S.Labat (IM2NP) fcc polycrystalline films / thermal stress

Related references:

- GDR-3180(2009-2012) Mécano -> GDRi MECANO
- Réunion 30-31/10/2012, Mines de Paris



-**Thin films materials science: stress, defect formation and surface evolution**,
B.Freund, S.Suresh, Cambridge Univ. Press

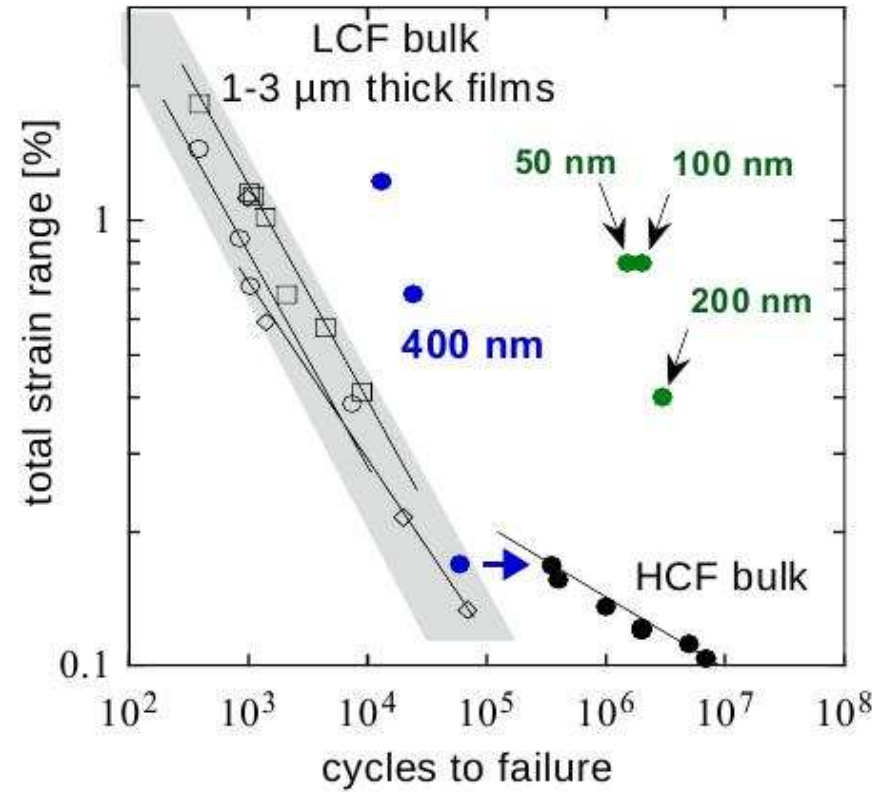
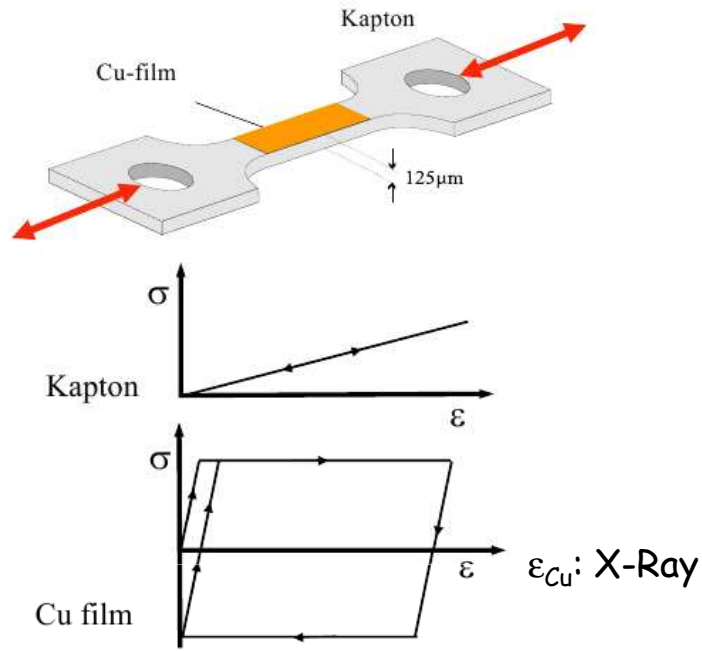
-W.Nix Stanford lectures 'Thin film mechanical properties'

Challenges

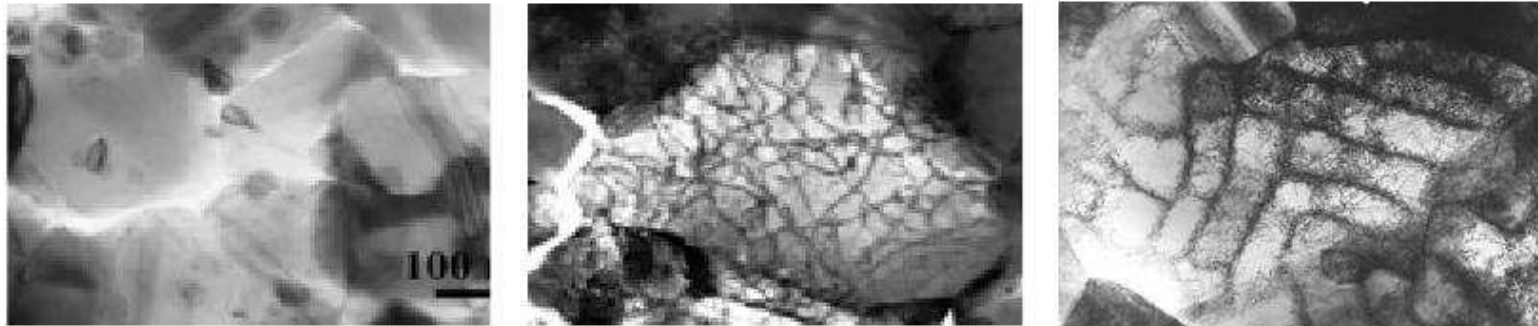
- Plasticity at interfaces : a case by case problem
- Measurements in T: creep, strain rate sensitivity, ...
- Initial microstructure: defects ? => SYNCHROTRON (non destructive)
- Fatigue ?
- Non-crystalline (amorphous) metallic systems ?

Fatigue

[O.Kraft KIT]

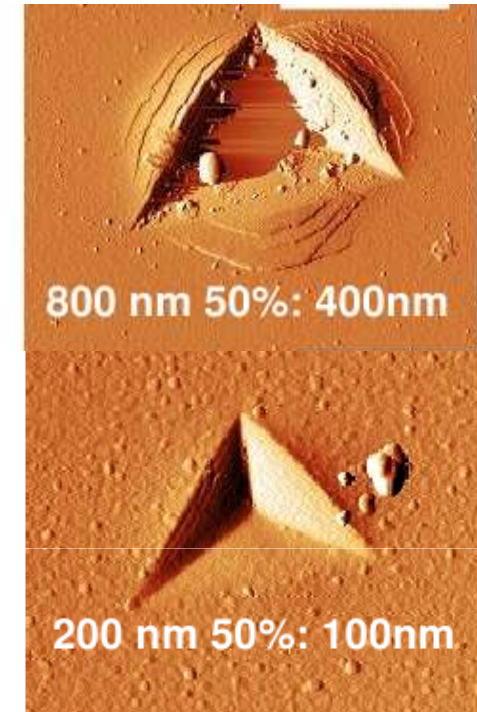


Zhang, Volkert, Schwaiger, Arzt, Kraft, JMR 2005

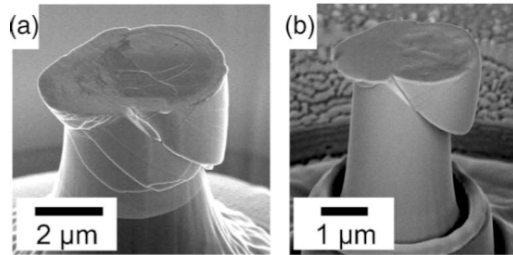


Bulk metallic glass

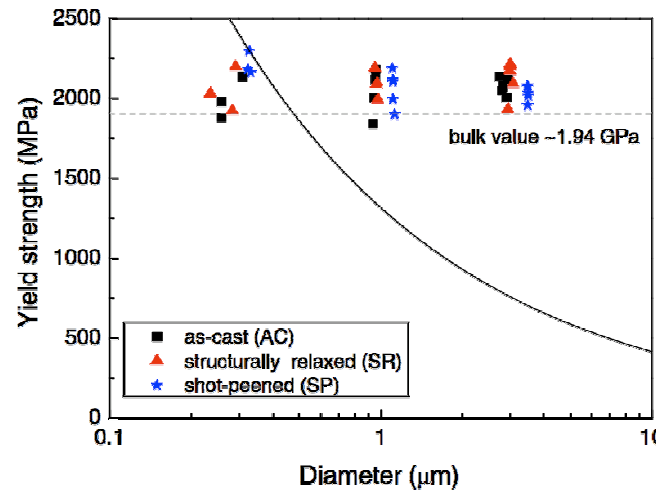
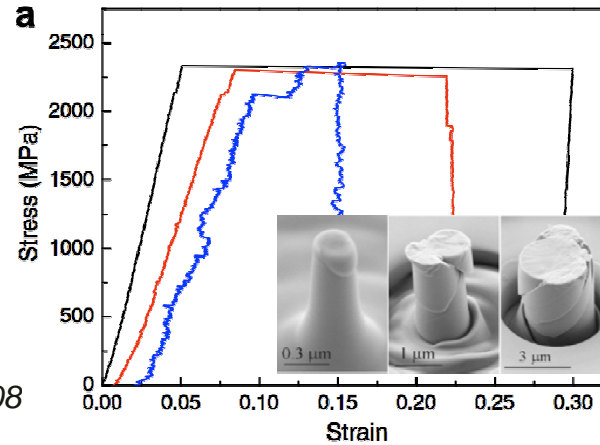
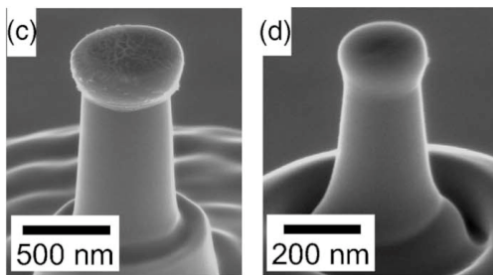
ZrNi/Si thin films



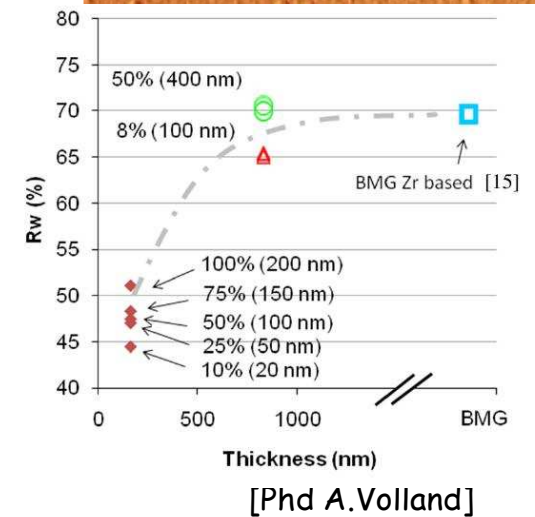
Pd₇₇Si₂₃ sputtering



Volkert Donohue Spaepen, *J. Appl. Phys.*, 2008



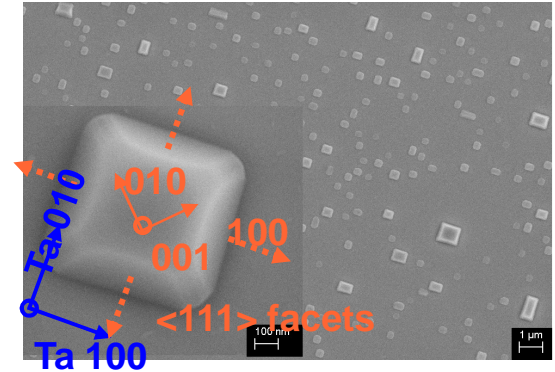
Dubacha, Raghavan, Löffler, Michler, Ramamurty, *Scripta Materialia* 2009



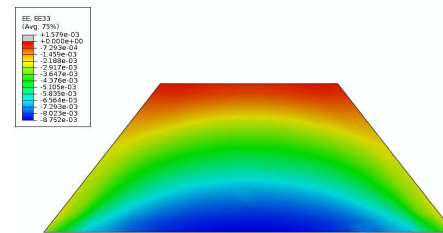
Diffraction of coherent XRay (ANR Blanche Mécanix)

Ability to recover $u(r)$ from phase and amplitude of diffraction

Signature of dislocations : phase shift \Rightarrow speckle !

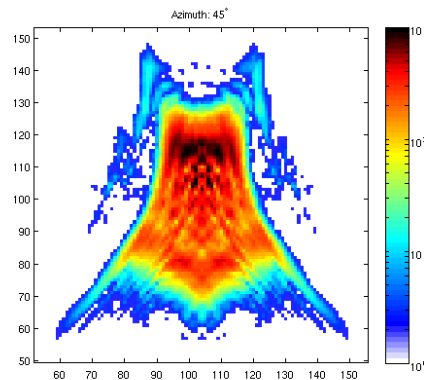
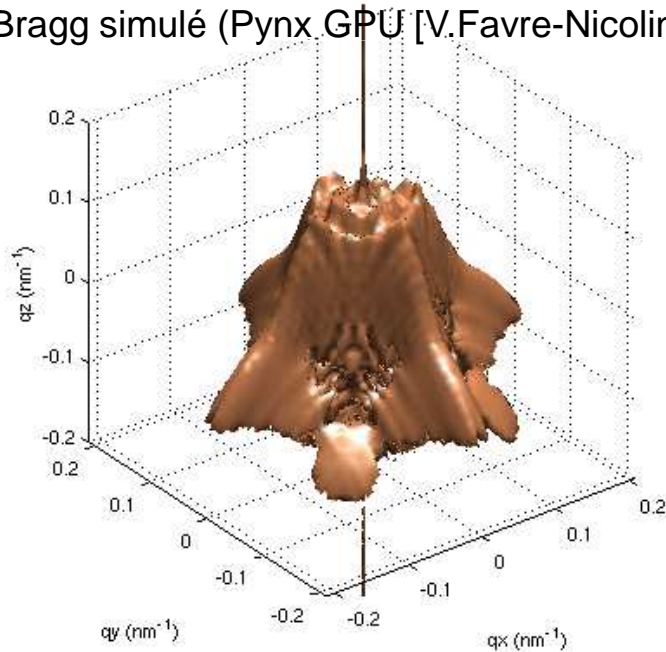


Simulation élastique anisotrope Cu/Ta :
déformation 0.6% du cristallite

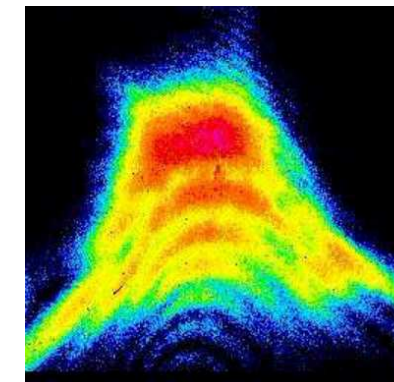


Coupe ϵ_{33}

Bragg simulé (Pynx GPU [V.Favre-Nicolin])



Coupe simulée

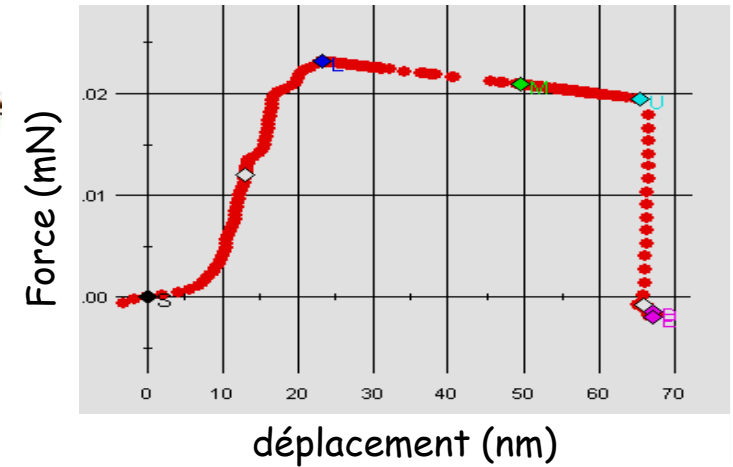
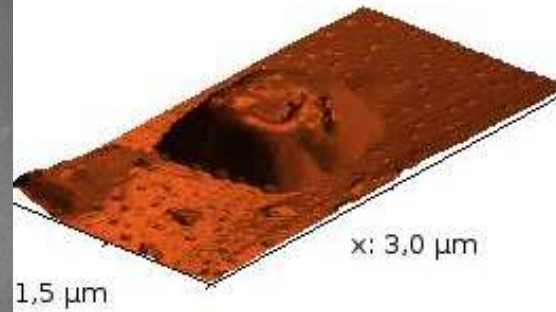
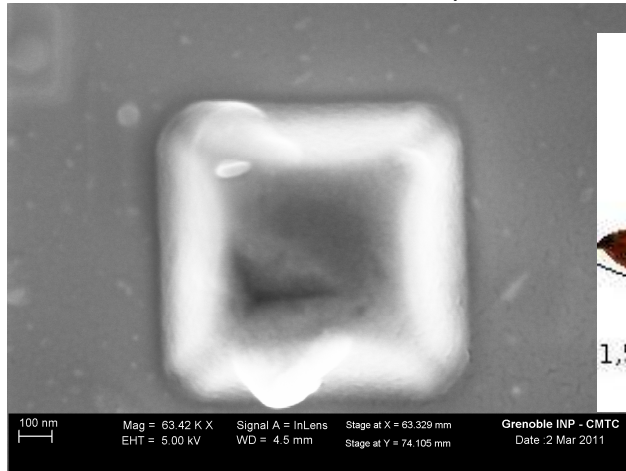


Coupe expérimentale

[Beutier et al. Thin Sol. Films 2012]

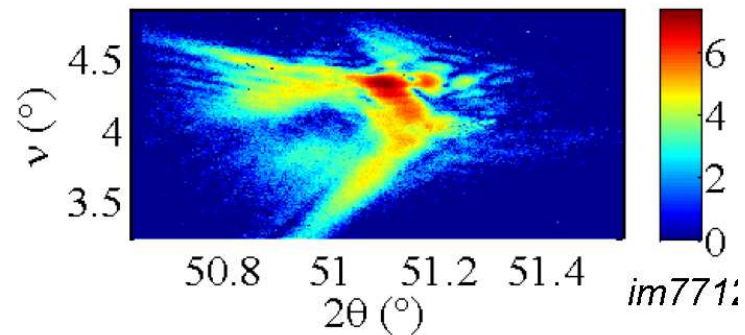
Exemple de déformation plastique d'un cristallite Cu [001]

(990x910x325 nm) pré-indenté (30nm)

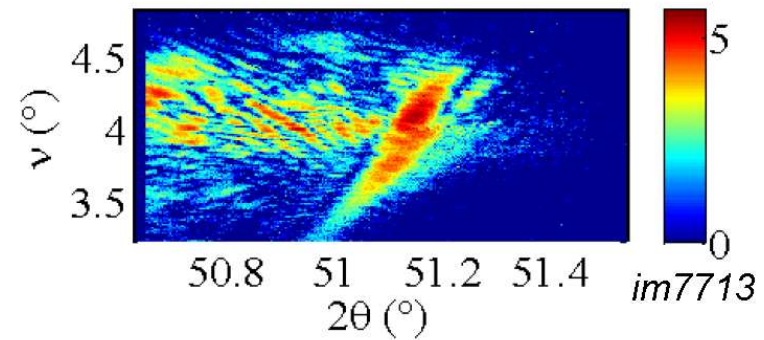


nanoindentation in-situ d'un plot

Coupe CCD avant



après



[Beutier et al. Jnl of Physics: Conf. series 2012]