

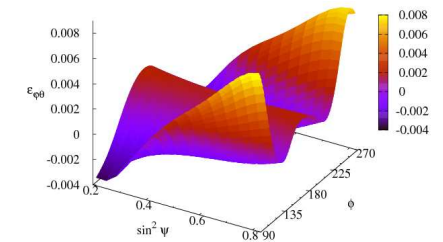
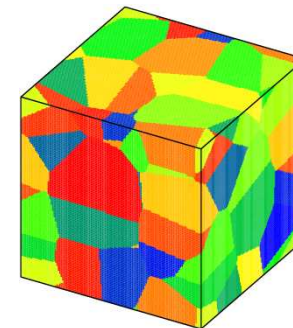
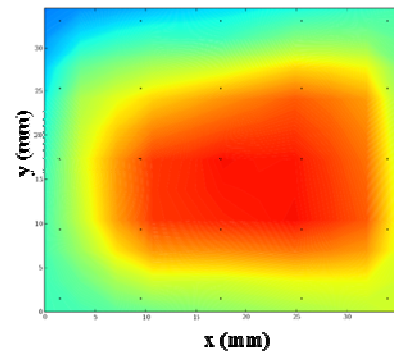


DE LA RECHERCHE À L'INDUSTRIE

cea



# RESIDUAL STRESSES



ANF Métallurgie Fondamentale | Vincent Klosek (CEA / DSM / IRAMIS / LLB)

23/10/2012

[www.cea.fr](http://www.cea.fr)

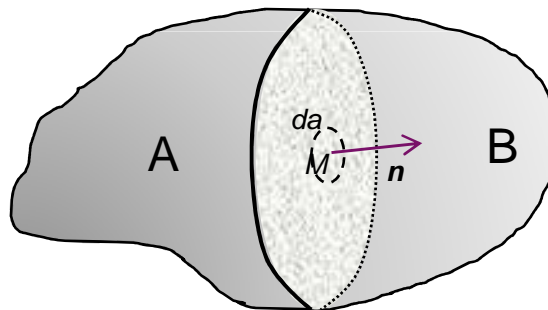
## Residual Stresses ?

- Static multiaxial stresses within an isolated solid in mechanical equilibrium, neither subjected to external force nor to external moment

→ Result from the thermo-mechanical history  
of the considered material

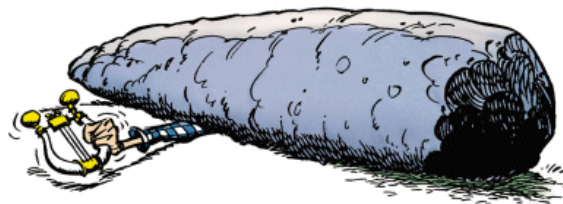
## Notion of stress

- Modeling internal efforts → **2<sup>nd</sup> order symmetric tensor**
- Cauchy stress tensor defined at a point: defines the linear application which determines the stress vector  $T$  for any facet through this point



$$T(M, n) = \boldsymbol{\sigma} \cdot n$$

- $\boldsymbol{\sigma}$  traduces « contact actions » between material particles



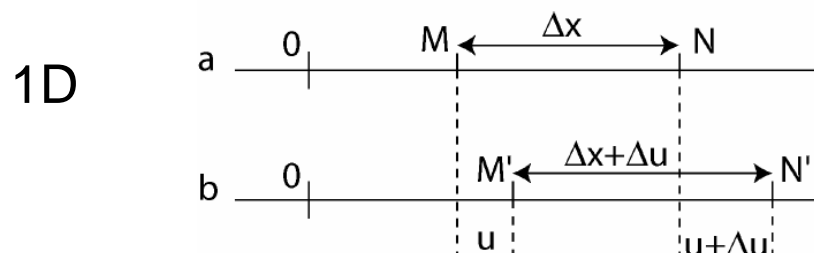
## Notion of strain

- What one can measure...  
(one does not measure a force → one makes it work!)



- **Strain tensor:**  
allows to express lengths and angles variations during a transformation
- Small Perturbations Hypothesis → **linearised tensor  $\varepsilon$**  :

$$\varepsilon(X) = \frac{1}{2} (\nabla \xi(X) + {}^t \nabla \xi(X))$$



$$\varepsilon = \lim_{\Delta x \rightarrow 0} \left( \frac{\Delta u}{\Delta x} \right) = \left( \frac{du}{dx} \right)_M$$

## Constitutive Laws

- Local relations between  $\boldsymbol{\sigma}$ ,  $\boldsymbol{\varepsilon}$  and  $T$
- **Thermoelasticity** in the framework of infinitesimal strain theory (→ linearized relation):

$$\boldsymbol{\sigma} = \mathbb{A} : \boldsymbol{\varepsilon} - \mathbf{k}(T - T_0)$$

- Isotropic elastic material, isothermal transformation:

$$\sigma_{ij} = \lambda \cdot (\varepsilon_{11} + \varepsilon_{22} + \varepsilon_{33}) \cdot \delta_{ij} + 2\mu\varepsilon_{ij}$$

Young modulus: 
$$E = \frac{\mu(3\lambda + 2\mu)}{\lambda + \mu}$$

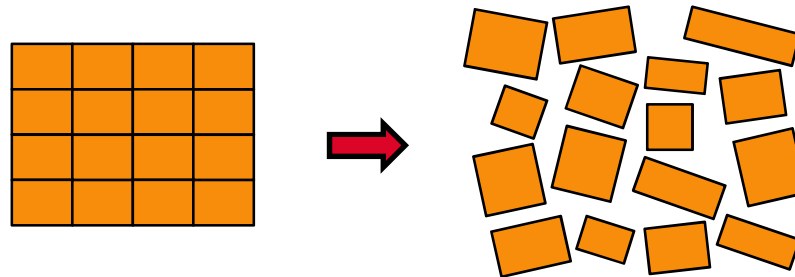
Poisson ratio: 
$$\nu = \frac{\lambda}{2(\lambda + \mu)}$$

## Strain Incompatibility

- Field  $\epsilon$  must be compatible ( $\Leftrightarrow$  derived from a displacement field):

$$\text{rot}^g (\text{rot}^d \epsilon) = 0$$

- What if not ? Loss of continuity !



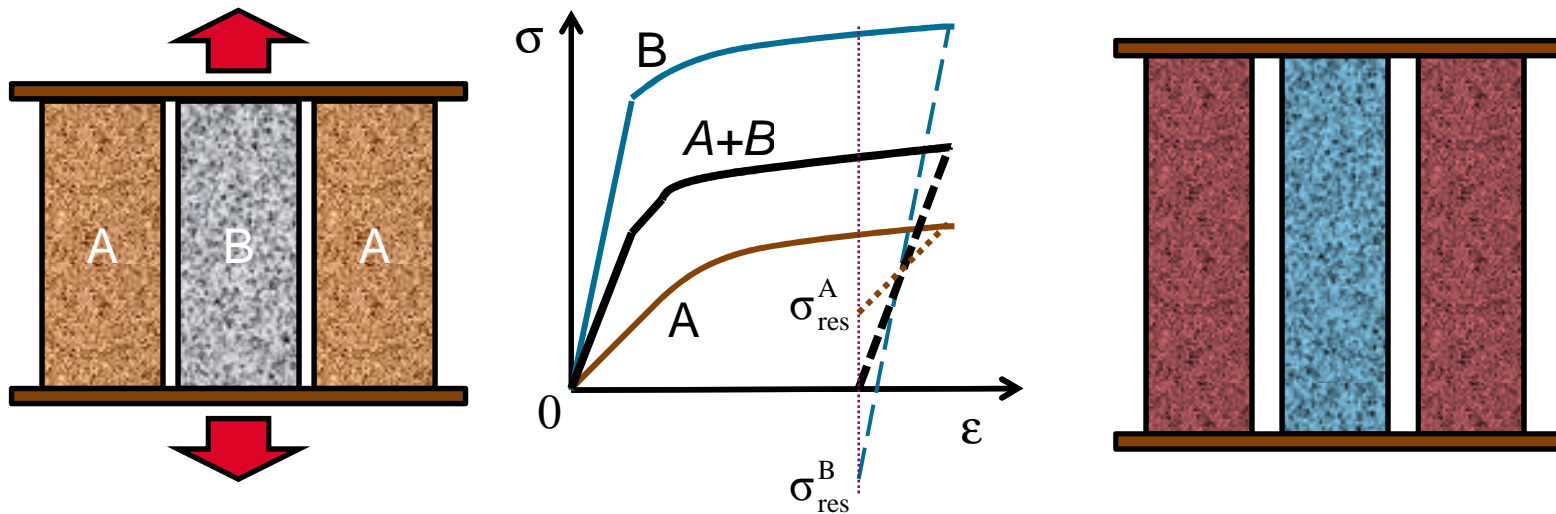
- If strain field not compatible BUT continuity remains  
 $\rightarrow \exists$  additional elastic field so that the total strain field is compatible!

$$\epsilon^{\text{total}} = \epsilon^{\text{stress free}} + \epsilon^{\text{elastic accommodation}}$$

$\rightarrow$  Internal stress associated with elastic accommodation

## Strain Incompatibility

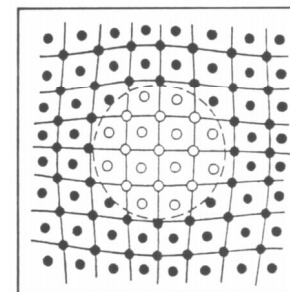
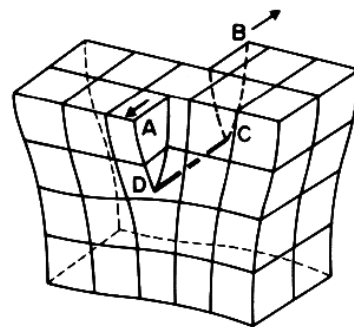
- Application of a compatible strain field to a heterogeneous material:



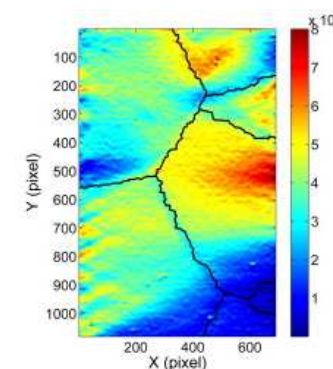
→ **RESIDUAL STRESSES**

## Main sources → various scales

- Atomic scale: point defects (very local influence)
- Single crystal scale: dislocations, precipitates, etc...



- Polycrystal scale:
  - Plastic strain incompatibilities
  - phase transformations
  - thermal strains...



*Badulescu et al. (2011)*



## Various scales...

$$\underline{\underline{\sigma}}^{res}(\mathbf{X}) = \underline{\underline{\sigma}}^I(\mathbf{X}) + \underline{\underline{\sigma}}^{II}(\mathbf{X}) + \underline{\underline{\sigma}}^{III}(\mathbf{X})$$

- 1st order stresses: in equilibrium at the whole sample scale (→ scale considered by engineers for structures calculations)

$$\underline{\underline{\sigma}}^I(\mathbf{X}) = \frac{1}{V} \int_V \underline{\underline{\sigma}}^{res}(\mathbf{X})$$

- 2nd order stresses: in equilibrium at the scale of a group of crystallites

$$\underline{\underline{\sigma}}^{II}(\mathbf{X}) = \frac{1}{v} \int_v \underline{\underline{\sigma}}^{res}(\mathbf{X})$$

- 3rd order stresses: in equilibrium at the scale of a crystallite  
→ Defects of crystallographic lattice (dislocations, precipitates, vacancies, grain boundaries, etc...)

$$\underline{\underline{\sigma}}^{III}(\mathbf{X}) = \underline{\underline{\sigma}}^{res}(\mathbf{X}) - (\underline{\underline{\sigma}}^I(\mathbf{X}) + \underline{\underline{\sigma}}^{II}(\mathbf{X}))$$

## Why evaluating them is so important?...

### ■ Strongly influence the mechanical behaviour of a component

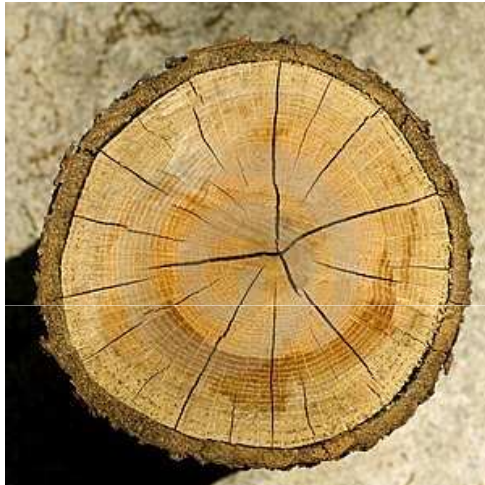
- **harmful**: premature fracture (fatigue, cracking, etc...)
- **favourable**: example of prestress treatments (shot peening, etc...)

- Significant effects on performance, safety, reliability of a component, a structure.
- Improvement of the processes (thermal or mechanical treatment required ?...)

### ■ At a more fundamental point of view:

- Understanding of the physical deformation mechanisms, and how they interact

## Examples of significant manifestations



*Drying of wood*



*Stress-induced corrosion*



*Silver Bridge (WV, USA), 1967*



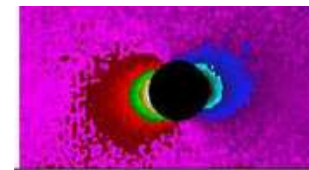
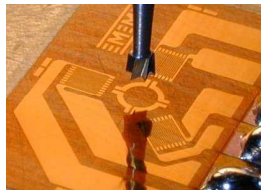
## Experimental determination of elastic strains

- **Destructive or semi-destructive methods**

- **Non destructive methods**

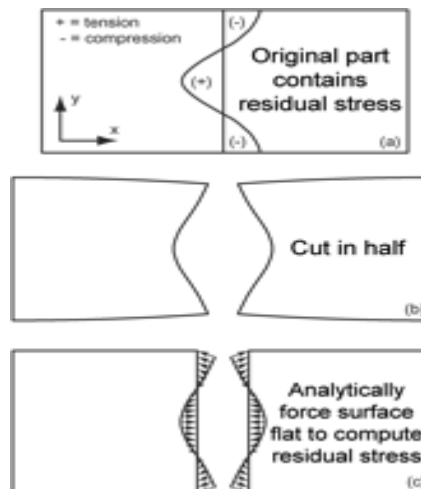
## (semi-) destructive methods

- **Principle:** some matter is removed → mechanical equilibrium is affected → system tends to reach a new equilibrium → it changes its shape
- **Hole drilling method** (incremental or not) or **ring core method**



plane stress approximation, isotropic elasticity law, empirical coefs to determine...

- **Contour method**



## Non destructive methods

### ■ Ultrasonic technique

⇔ dependence of the propagation velocity of ultrasonic waves on stress state  
(non linear elasticity, use of acoustoelastic coefficients)

### ■ Barkhausen noise (ferromagnetic materials)

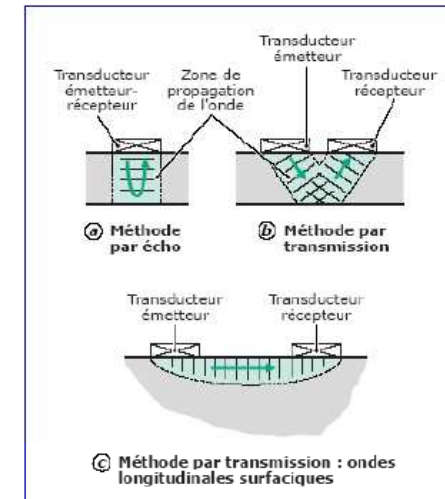
⇔ Discontinuous motion of Bloch walls  
stress ⇔ inverse magnetostrictive effect  
→ technique very sensitive to microstructural defects (...tricky to isolate contributions)

### ■ Raman spectrometry

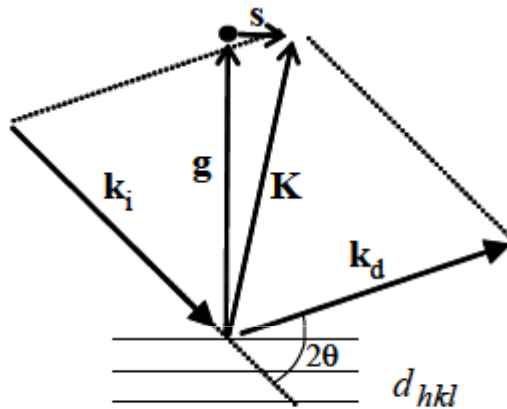
⇔ dependence of optic phonon frequencies on stress state

### ■ Diffraction

Crystal lattice is used as a strain gauge...



## Theory of diffraction by distorted crystals (Krivoglaz, 1969)



Diffraction vector

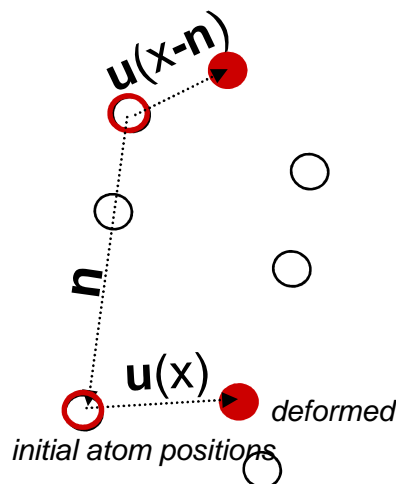
$$\mathbf{K} = \mathbf{g} + \mathbf{s}$$

( $\mathbf{g} \in$  reciprocal lattice)

Bragg:

$$I \neq 0 \Leftrightarrow \mathbf{s} = \mathbf{0}$$

(non distorted perfect crystal)



If  $\exists$  displacement field  $\mathbf{u}(\mathbf{x})$

Scattered intensity (normalised):

$$I(\mathbf{K}) = \iint e^{2\pi i \mathbf{n} \cdot \mathbf{s}} \cdot e^{2\pi i \Delta \mathbf{u} \cdot \mathbf{K}} d\mathbf{x} d\mathbf{n}$$

( $\mathbf{n} \in$  direct lattice)

$$\Delta \mathbf{u}(\mathbf{x}, \mathbf{n}) = \mathbf{u}(\mathbf{x}) - \mathbf{u}(\mathbf{x} - \mathbf{n})$$

- Intensity distribution  $I(\mathbf{K})$  directly related to the projection of the relative displacement between atoms  $\Delta \mathbf{u}$  along  $\mathbf{K}$

→ **Directional measurement** !

$$\varepsilon_{KK}(\mathbf{x}) = \frac{1}{K^2} \mathbf{K} \cdot \underset{=}{\boldsymbol{\varepsilon}(\mathbf{x})} \cdot \mathbf{K} = \lim_{n \rightarrow 0} \frac{\Delta \mathbf{u} \cdot \mathbf{K}}{nK}$$

- **Periodicity breaking → diffraction peak broadening** for  $\mathbf{K} \parallel \mathbf{g}$

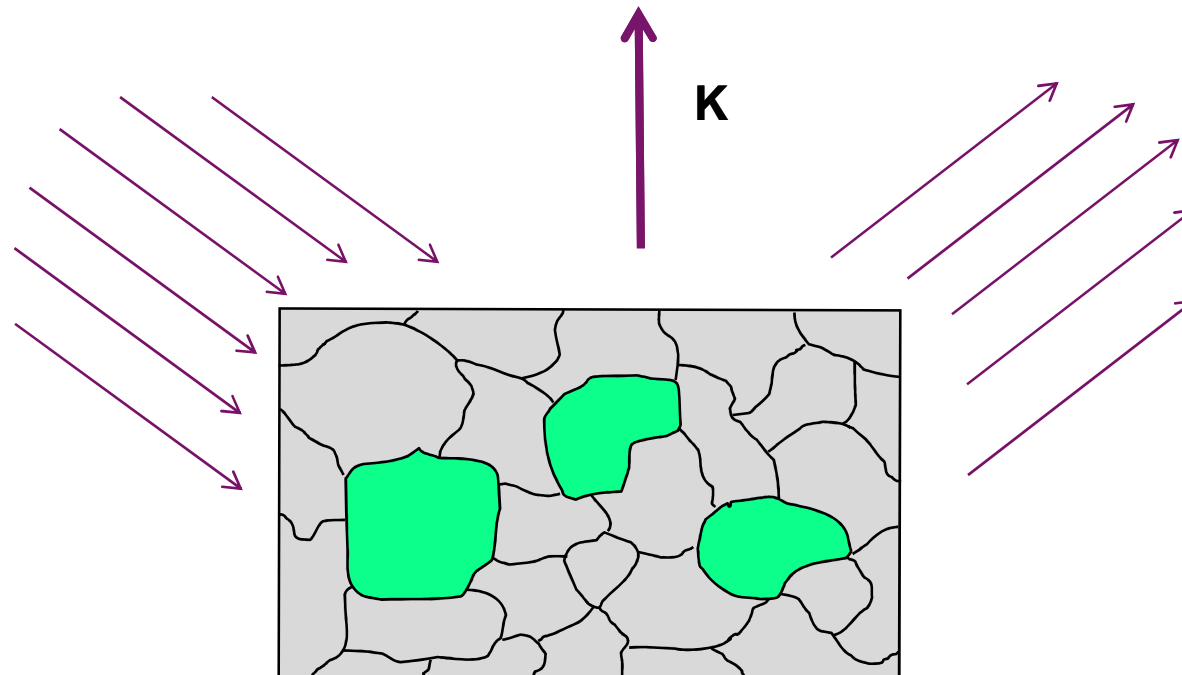
- Homogeneous deformation → **diffraction peak shift**  
(back to Bragg law!)

- **Diffraction technique only sensitive to elastic strains !**



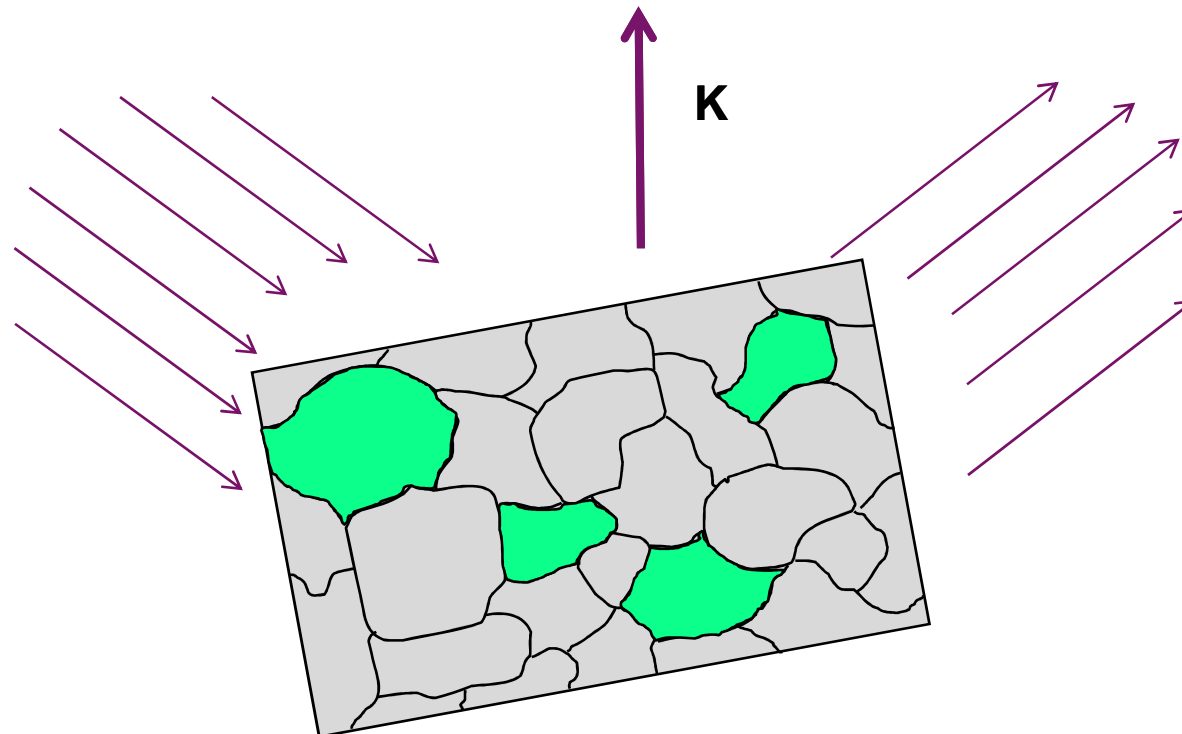
## Selectivity of diffraction methods:

→ Strain is measured for grains in diffraction condition only !!!



## Selectivity of diffraction methods:

→ Strain is measured for grains in diffraction condition only !!!



## What do we measure ?...

### 1st order moment

$$\mu^{(1)} = \int_{-\infty}^{+\infty} s \cdot I(s) ds$$

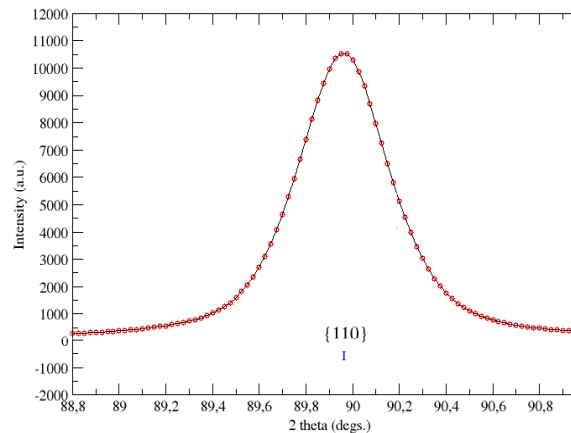
(pos. of the « gravity centre » of the peak)



Strain along **K** averaged over the diffracting volume

$$\langle \epsilon_{KK} \rangle_{\Omega} = -\frac{\mu^{(1)}}{K}$$

$$\epsilon_{KK} = \frac{\Delta d_{hkl}}{d_{hkl}^0} = -\frac{\Delta \theta}{\tan \theta_0} \quad \text{If homogeneous strain over } \Omega!$$



### 2nd order (centered) moment

$$\mu^{(2)} = \int_{-\infty}^{+\infty} s^2 \cdot I(s) ds$$

(« peak width »)



Variance of elastic strains along **K** over the diffracting volume

$$\langle \epsilon_{KK}^2 \rangle_{\Omega} = \frac{\mu^{(2)}}{K^2}$$

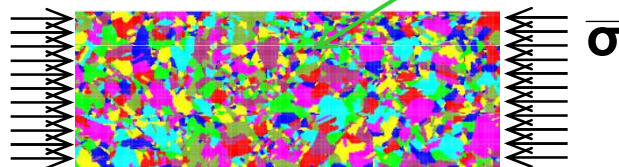
**Ideal for coupling with homogenization techniques!**

## Interpretation of peak shifts

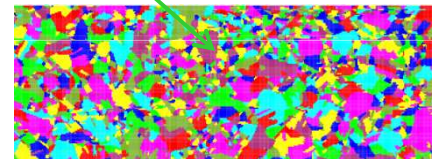
Stress at a given point  $\mathbf{x}$ :

$$\boldsymbol{\sigma}(\mathbf{x}) = \mathbf{B}(\mathbf{x}) : \bar{\boldsymbol{\sigma}} + \boldsymbol{\sigma}_{res}(\mathbf{x}) \quad \text{with} \quad \langle \boldsymbol{\sigma}_{res} \rangle = \mathbf{0}$$

(here  $\cong$  2<sup>nd</sup> & 3<sup>rd</sup> order stresses)



+



$\bar{\boldsymbol{\sigma}} = \mathbf{0}$

Strain:  $\boldsymbol{\varepsilon}(\mathbf{x}) = \mathbf{S}(\mathbf{x}) : \boldsymbol{\sigma}(\mathbf{x})$



### General Formulation :

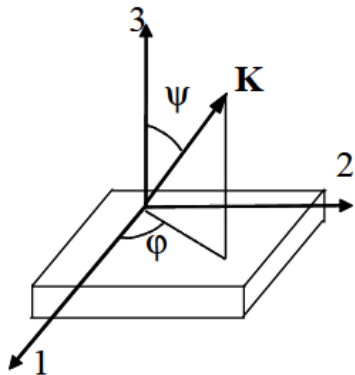
$$\langle \boldsymbol{\varepsilon}_{KK} \rangle_{\Omega} = \frac{\mathbf{K} \otimes \mathbf{K}}{K^2} : \langle \mathbf{S} : \mathbf{B} \rangle_{\Omega} : \bar{\boldsymbol{\sigma}} + \frac{\mathbf{K} \otimes \mathbf{K}}{K^2} : \langle \mathbf{S} : \boldsymbol{\sigma}_{res} \rangle_{\Omega}$$

## The « $\sin^2 \psi$ law » ...

1st approximation  $\sigma_{res} = \mathbf{0} \Rightarrow \langle \varepsilon_{KK} \rangle_{\Omega} = \frac{\mathbf{K} \otimes \mathbf{K}}{K^2} : \langle \mathbf{S} : \mathbf{B} \rangle_{\Omega} : \bar{\sigma}$

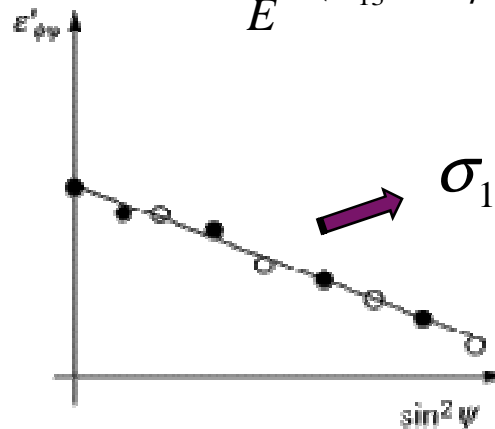
2nd approximation: isotropic elasticity...

$$\langle \varepsilon_{KK} \rangle_{\Omega} = \frac{\mathbf{K} \otimes \mathbf{K}}{K^2} : \mathbf{S} : \bar{\sigma} \Rightarrow \langle \varepsilon_{KK} \rangle_{\Omega} = \frac{1+\nu}{E} (\sigma_{11} \cos^2 \varphi + \sigma_{12} \sin 2\varphi + \sigma_{22} \sin^2 \varphi - \sigma_{33}) \sin^2 \psi$$



$$+ \frac{1+\nu}{E} \sigma_{33} - \frac{\nu}{E} (\sigma_{11} + \sigma_{22} + \sigma_{33})$$

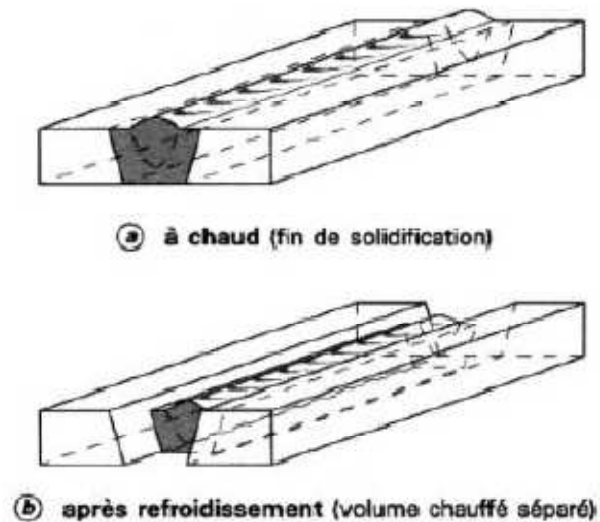
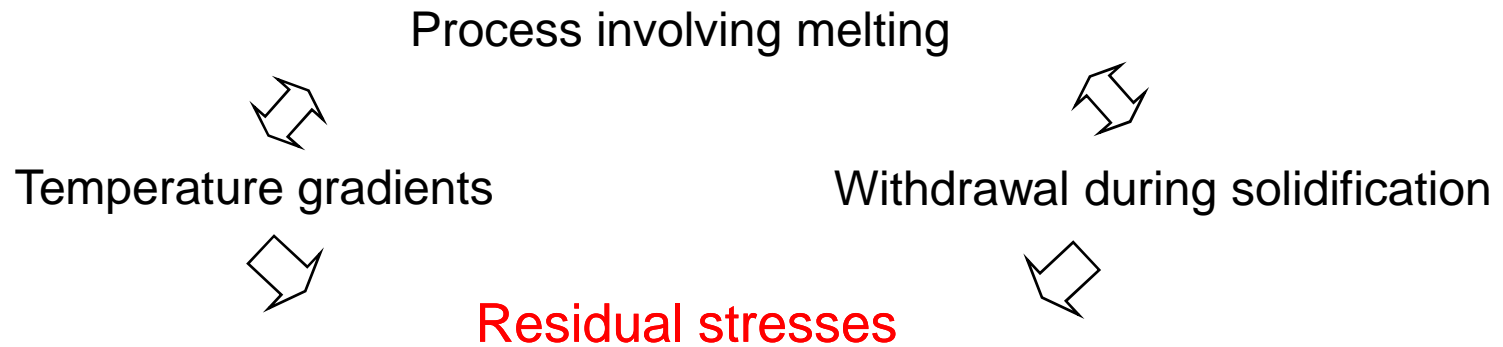
$$+ \frac{1+\nu}{E} (\sigma_{13} \cos \varphi + \sigma_{23} \sin \varphi) \sin 2\psi$$



$\sigma_{11}, \sigma_{22}, \sigma_{33}$

*Too often applied out of hypothesis validity...*

## Example 1: welding



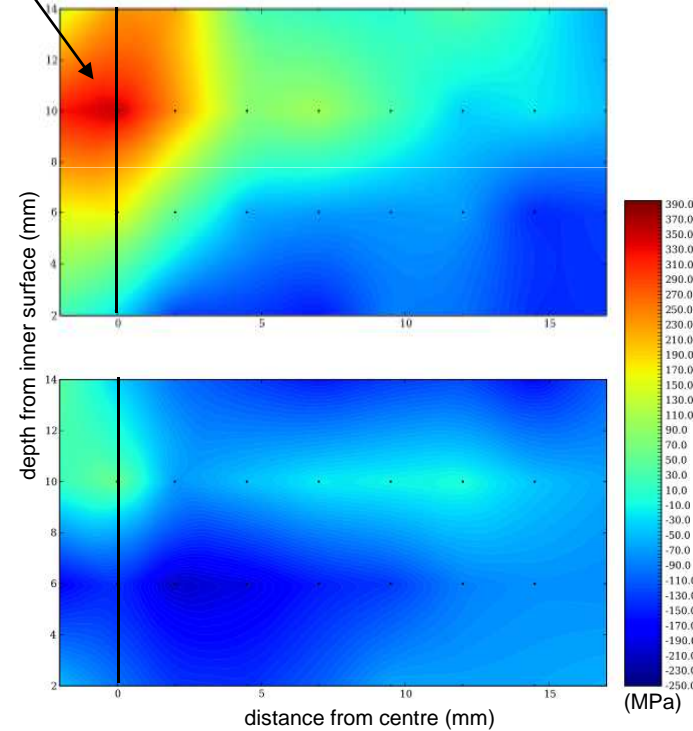
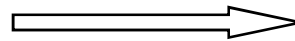
If no cohesion  
(incompatibility strain field)

## Determination by means of neutron diffraction

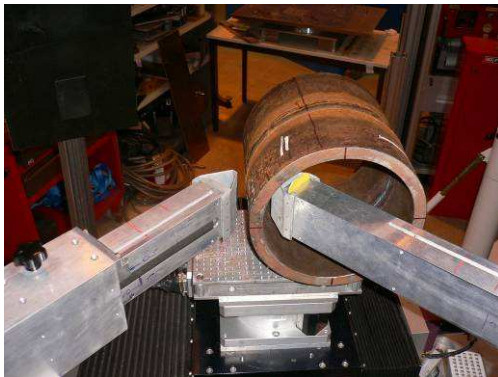
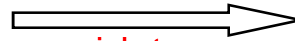
Tensile residual stress



tangential stress



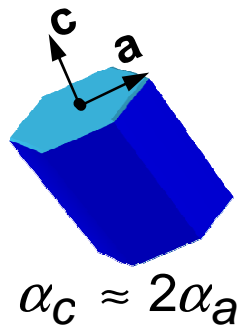
axial stress



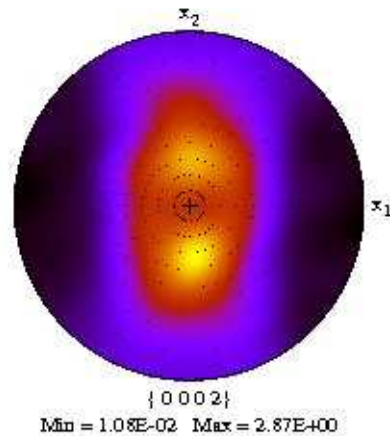
(coll. CEA/DEN)

## Example 2: Intergranular stresses in Zr

SC estimates – isotropic elastic behaviour

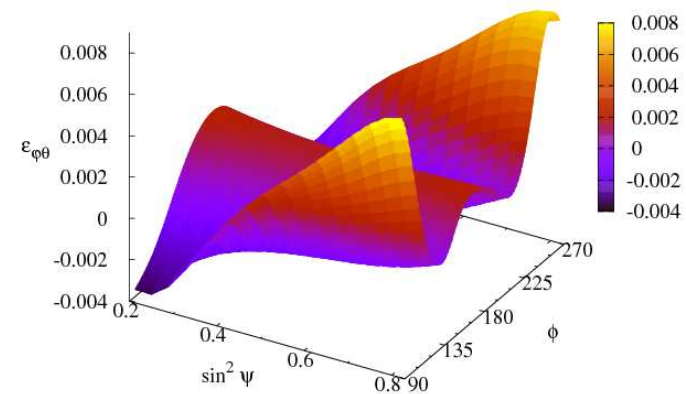
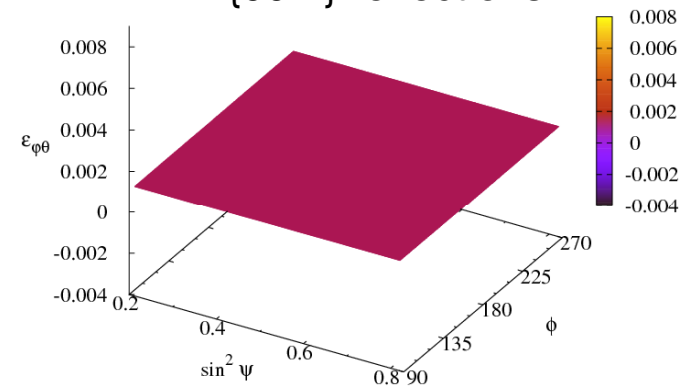


Isotropic texture →



$\Delta T = -600^\circ\text{C}$ ,  $\bar{\sigma} = 0$

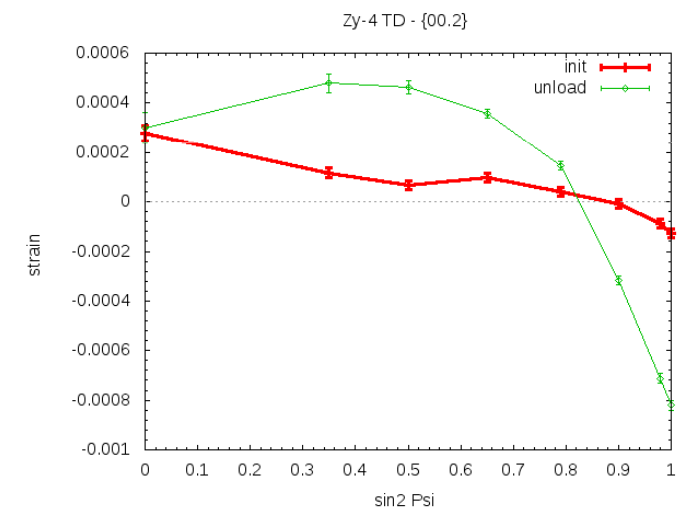
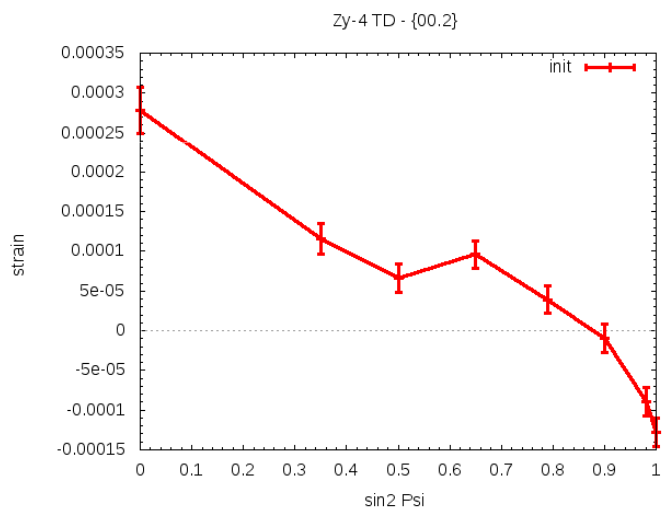
{00.2} reflections





## Example 2: Intergranular stresses in Zr

### Experimental observations (neutron diffraction)



$\Sigma = 450 \text{ MPa}$

(Coll. O. Castelneau (PIMM))

**Importance to take microstructure into account for data analysis !!!**

- **Various scales – various mechanisms** : need to consider physical, chemical and mechanical phenomena (i.e. **metallurgical phenomena!**)
- Stress fields are most of the time **complex** and **heterogeneous**
- Characterization of residual stresses is of fundamental and applied importances (but relevant scales are not the same!)
- **Various techniques** to determine residual stresses
  - Diffraction (RX & neutrons) allows to characterize intra- and intergranular heterogeneities – ideal for coupling with micromechanical modelling
  - **BUT in every case: interpreting measurement results is far from being trivial**
  - ~~**RESIDUAL STRESS MEASUREMENT!**~~
- Numerical prediction of residual stresses within components ?
  - optimization of geometries, processes, performances (ex: *LASMIS @UTT...*)



Commissariat à l'énergie atomique et aux énergies alternatives  
Centre de Saclay | 91191 Gif-sur-Yvette Cedex  
T. +33 (0)1 XX XX XX XX | F. +33 (0)1 XX XX XX XX

DSM  
IRAMIS  
LLB

Etablissement public à caractère industriel et commercial | RCS Paris B 775 685 019